

14 MAY 1973

This document is intended for publication in a journal, and is made available on the understanding that extracts or references will not be published prior to publication of the original, without the consent of the authors.



UKAEA RESEARCH GROUP

Preprint

# ANISOTROPY OF TURBULENCE IN A COLLISIONLESS SHOCK

K MURAOKA  
E L MURRAY  
J W M PAUL  
D D R SUMMERS

CULHAM LABORATORY  
Abingdon Berkshire

1973

Enquiries about copyright and reproduction should be addressed to the Librarian, UKAEA, Culham Laboratory, Abingdon, Berkshire, England

ANISOTROPY OF TURBULENCE IN A COLLISIONLESS SHOCK

by

K. Muraoka\*, E.L. Murray<sup>/</sup>, J.W.M. Paul and D.D.R. Summers

(Submitted for publication in J. Plasma Physics)

ABSTRACT

We have measured the level of density fluctuations  $\langle \delta n_e^2(\vec{k}) \rangle$  for  $|\vec{k}| \sim 1/\lambda_D$  as a function of the angle between  $\vec{k}$  and the current within a collisionless shock. The cut-off angle is in good agreement with that predicted for current-driven ion wave turbulence and one-dimensional heating of the ions. This agreement provides further evidence that ion-wave instability is responsible for the observed turbulence within the shock.

\* On leave from Kyushu University, Japan.

<sup>/</sup> Present address: Flinders University of S. Australia,  
Bedford Park 5042, South Australia.

UKAEA Research Group,  
Culham Laboratory,  
Abingdon,  
BERKS

22nd February, 1973

## C O N T E N T S

	<u>Page</u>
1. INTRODUCTION	1
2. CALCULATION OF THE CUT-OFF ANGLES	3
3. EXPERIMENT	6
4. DISCUSSION	7
ACKNOWLEDGEMENTS	10
REFERENCES	11

## 1. INTRODUCTION

Collisionless shock waves propagating perpendicular to a magnetic field have been produced by the compression of an initial hydrogen plasma within a linear z-pinch, (PAUL et al. 1965 and 1967). The electron heating within the shock implies a resistivity which is two orders of magnitude larger than the Spitzer value and this is attributed to the presence of turbulent fluctuations.

The frequency and wave number spectra of the turbulence have been measured previously (DAUGHNEY et al. 1970) by scattering of ruby laser light from the shock. This yields the Fourier transform of the density fluctuations in the form

$$S(\omega, \vec{k}) = \langle \delta n_e^2(\omega, \vec{k}) \rangle / n_e . \quad \dots (1)$$

These measurements were made on shock waves with sub-critical Mach number ( $M_A = 2.5$ ), for  $\vec{k}$  collinear with the azimuthal current ( $J_\theta$ ) within the shock and  $|\vec{k}| \sim 1/\lambda_D$ . The spectra of turbulence are consistent with current driven ion-wave turbulence.

For an isotropic plasma, theory (KADOMTSEV, 1965) predicts that the turbulence extends within a half cone in k-space, centred on the direction of the electron current. The extreme or cut-off cone has an angle corresponding to that for zero growth on linear stability theory.

This angle depends only on the two ratios (STRINGER, 1964),  
(i) electron to ion temperature ( $T_e/T_i$ ) and (ii) electron  
drift to thermal velocity ( $u_0/c_e$ ).

Within such a 'collisionless' shock the electrons  
experience effective collisions and we assume that this  
maintains an isotropic electron temperature. The ions,  
however, are collisionless and not affected by the turbu-  
lence. We therefore expected the ions to be adiabatically  
heated only in the radial direction (i.e. normal to the  
shock front) in which they are slowed down and compressed  
by the radial electric field. The ion temperature is  
therefore anisotropic  $T_r > T_\theta = T_z$ .

We take this into account by assuming that the  
turbulence extends in k-space within a region defined by  
the contour of zero linear growth and this is no longer a  
cone. A linear stability calculation for  $T_r \neq T_\theta = T_z$   
yields the zero growth rates and corresponding cut-off  
angles:

- (i)  $\varphi_c$  in the plane  $(r, \theta)$  perpendicular to the  
shock front and  $B_z$  ;
- (ii)  $\psi_c$  in the plane  $(\theta, z)$  containing the shock  
front and  $B_z$  .

The directions and angles are shown in Fig.1.

We then present the measured variation of  $S(\varphi)$  in the plane  $(r, \theta)$  obtained by the above scattering technique and averaged over the shock. These results have previously been reported briefly, (PAUL et al, 1971). The measured cut-off angle  $\varphi_c$  agrees well with the theory presented.

## 2. CALCULATION OF THE CUT-OFF ANGLES

The velocity distribution functions of electrons and ions averaged within the shock front can be expressed as follows in the shock frame shown in Fig.1:

$$f_e = \left( \frac{m_e}{2\pi k T_e} \right)^{\frac{3}{2}} \exp \left[ - \frac{m_e}{2k T_e} \left\{ v_r^2 + (v_\theta - u_0)^2 + v_z^2 \right\} \right] \quad \dots (2)$$

$$f_i = \left( \frac{m_i}{2\pi k T_{ir}} \right)^{\frac{1}{2}} \left( \frac{m_i}{2\pi k T_{i\theta}} \right) \exp \left[ - \frac{m_i}{2k} \left\{ \frac{v_r^2}{T_{ir}} + \frac{v_\theta^2 + v_z^2}{T_{i\theta}} \right\} \right] \quad \dots (3)$$

where the notations have the obvious meanings. In equations (2) and (3), electron temperature is taken to be isotropic due to the rapid relaxation by the turbulence, whereas ion temperature is assumed anisotropic.

### 2.1 Cut-off Angle $\psi_c$ ( $\theta, z$ plane)

The distribution functions in the  $\psi$  direction are obtained by resolving the velocity component in the shock plane into the direction  $\psi$  (velocity component of which is denoted by  $v_\psi$ ) and perpendicular to this (velocity component  $v_{\psi\perp}$ ) and integrating equations (2) and (3) with respect to  $v_r$  and  $v_{\psi\perp}$ . The results are

$$f_{e\psi} = \left( \frac{m_e}{2\pi\kappa T_e} \right)^{\frac{1}{2}} \exp \left\{ - \frac{m_e (v_\psi - u_o \cos \psi)^2}{2\kappa T_e} \right\} \dots (4)$$

$$f_{i\psi} = \left( \frac{m_i}{2\pi\kappa T_{i\theta}} \right)^{\frac{1}{2}} \exp \left\{ - \frac{m_i v_\psi^2}{2\kappa T_{i\theta}} \right\} \dots (5)$$

STRINGER (1964) has presented a linear theory of the current-driven ion-wave instability. This can be used to get the cut-off angle  $\psi_c$  for the zero growth rate from equations of the form (4) and (5). The effective ion temperature is  $T_{i\theta}$  and the effective drift  $u_o \cos \psi$ .

## 2.2 Cut-off angle $\varphi_c$ ( $r, \theta$ plane)

By the same procedure as above, we get the distribution function in the direction  $\varphi$  as follows:

$$f_{e\varphi} = \left( \frac{m_e}{2\pi\kappa T_e} \right)^{\frac{1}{2}} \exp \left\{ - \frac{m_e (v_\varphi - u_o \cos \varphi)^2}{2\kappa T_e} \right\} \dots (6)$$

$$f_{i\varphi} = \left( \frac{m_i}{2\pi\kappa T_{i\varphi}} \right)^{\frac{1}{2}} \exp \left\{ - \frac{m_i v_\varphi^2}{2\kappa T_{i\varphi}} \right\} \dots (7)$$

where

$$T_{i\varphi} = T_{ir} \sin^2 \varphi + T_{i\theta} \cos^2 \varphi \dots (8)$$

and  $v_\varphi$  is the velocity component in the  $\varphi$  direction.

In this case, the effective ion temperature  $T_{i\varphi}$  depends on  $\varphi$  and so the cut-off angle  $\varphi_c$  has to be obtained by iteration.

## 2.3 Cut-off Angles for the Experimental Condition

The initial parameters, (PAUL et al, 1965) for the shock wave with  $M_A = 2.5$  are  $n_{e1} = 6.4 \times 10^{20} \text{ m}^{-3}$ ,  $T_{e1} = T_{i1} = 1.2 \text{ eV}$  and  $B_{z1} = 0.12 \text{ T}$ . From the measured



electron temperature behind the shock, PAUL et al. (1967),  
 $T_{e2} = 44 \text{ eV}$ , the shock thickness  $L_S = 1.4 \text{ mm}$ , jump ratio  
 $F = 2.5$  we obtain mean shock conditions

$$u_0/c_e = 0.25, \bar{T}_e = \frac{1}{2}(T_{e1} + T_{e2}) = 23 \text{ eV}. \quad \dots (9)$$

Earlier work (PAUL et al, 1971) contains evidence that  
the turbulence arises from plasma with approximately this  
mean temperature. If the ions were adiabatically heated  
in three dimension (i.e. isotropic  $T_i$ ), then  $T_i = 2.7 \text{ eV}$ ,  
 $\bar{T}_i = 1.7 \text{ eV}$  and the theory of STRINGER (1964) yields

$$\varphi_c = \psi_c = 81^\circ. \quad \dots (10)$$

For adiabatic heating of the ions in one dimension,  
as is expected  $T_{ir2} = 7.5 \text{ eV}$  and  $\bar{T}_{ir} = 4.4 \text{ eV}$ . For this  
mean and the above conditions the cut-off angles calculated  
by the above method are

$$\left. \begin{array}{l} \psi_c = 84^\circ \\ \varphi_c = 55^\circ \end{array} \right\} \dots (11)$$

In the experiment there is no resolution within the shock  
but  $\varphi_c$  is not critically dependent on  $T_{ir}$ , for an extreme  
example  $T_{ir} = T_{ir2} = 7.5 \text{ eV}$  yields  $\varphi_c = 41^\circ$ .

### 3. EXPERIMENT

We have measured the intensity of turbulence as a function of the angle  $\varphi$  from the direction of azimuthal current in the plane perpendicular to the magnetic field, which is obtained from equation (1) as

$$S(\varphi) = \int_{-\infty}^{\infty} \int_{\Delta k}^{\hat{k}} \int_{\varphi - (\Delta\varphi/2)}^{\varphi + (\Delta\varphi/2)} S(\omega, k, \varphi, \psi = 0) d\omega dk d\varphi \quad \dots \quad (12)$$

The technique employed here is essentially the same as reported previously (DAUGHNEY et al, 1970). Light is scattered from a 50 MW ruby laser beam during the transit of the shock through the beam. The signal is detected by a photomultiplier with a 35 Å pass band optical filter. The pulse of scattered light is collected through a window which is covered by different masks in order to vary the scattering plane. These masks accept scattered light with mean  $k = 7.7 \times 10^5 \text{ m}^{-1}$  ( $\sim 1/\lambda_D$ ) and spread  $\Delta k = 3.0 \times 10^5 \text{ m}^{-1}$  and with  $\Delta\varphi$  of either  $4^\circ$  or  $8^\circ$  with midpoint in the range between  $\varphi = 0^\circ$  and  $90^\circ$ . The results for  $M_A = 2.5$  are shown in Fig.2 and correspond to  $\varphi_C = 50^\circ$ .

Although the light is detected in directions corresponding to  $0^\circ < \varphi < 90^\circ$ , there is ambiguity about the sign of  $\vec{k}$  because the scattering waves have  $\vec{k} = \pm (\vec{k}_i - \vec{k}_s)$  and corresponding frequency  $\omega = \pm (\omega_i - \omega_s)$  where  $i$  and  $s$  are incident and scattered (Fig.1(b)). Previous experiments, DAUGHNEY et al. (1970), showed that the

positive sign must be taken and consequently  $\vec{k}$  makes an angle  $\varphi + 180^\circ$  ( $\equiv \varphi^*$ ) and the observed turbulence covers  $0^\circ < \varphi^* < 50^\circ$ . We are thus observing scattered light with  $\vec{k}$  directed in the sector between  $-\theta$  and  $r$ . In terms of shock parameters this sector is between  $\vec{J}_e = -\vec{J}_\theta$  ( $\varphi^* = 0^\circ$ ) and  $-\vec{J}_\theta \times \vec{B}_z$  ( $\varphi^* = 90^\circ$ ).

The possibility of an effect on  $S(\varphi)$  due to a variation of the form of  $S(k)$  with  $\varphi$  has been excluded by checking  $S(k)$  for two ranges of  $\varphi$ ,  $\varphi = 0$  to  $8^\circ$  and  $32^\circ$  to  $40^\circ$ . The ratios of the signal from full aperture ( $\Delta k = 6.2 \times 10^5$  to  $9.2 \times 10^5 \text{ m}^{-1}$ ) to that from smaller one ( $\Delta k = 6.2 \times 10^5$  to  $6.75 \times 10^5 \text{ m}^{-1}$ ) are the same within experimental error for the two ranges of  $\varphi$ .

#### 4. DISCUSSION

The observed cut-off angle  $\varphi_c = 50^\circ$  and extreme angle of  $60^\circ$  (Fig.2) are in good agreement with the value of  $55^\circ$  calculated on the above model of ion-wave turbulence with  $T_{ir} > T_{i\theta}$  and not with the value of  $81^\circ$  for  $T_{ir} = T_{i\theta}$ .

We must consider the possibility that the observed cut-off does not correspond to zero growth but to sufficient linear growth within the shock ( $t \sim 6 \times 10^{-9} \text{ s}$ ) for the level of turbulence to be observed. The observed enhancement over the thermal level for  $\varphi = 0$  is  $\epsilon_{ob} \sim 10^2$

(DAUGHNEY et al, 1970). (As the theoretical linear growth for  $\varphi = 0$  would yield  $\epsilon = e^{2\gamma t} \sim 10^8$  we assume non-linear limitation.) If we assume the large cut-off angle  $\varphi_c = 81^\circ$  (isotropic heating), linear growth should give  $\epsilon \sim 10^2$  for  $\varphi = 67^\circ$  and  $\epsilon \sim 10^5$  by  $\varphi = 50^\circ$ . The rapid increase in  $\gamma$  as  $\varphi$  decreases from  $\varphi_c$  means that, if the cut-off is related to the linear growth, it must be near  $\gamma = 0$ .

If we were to assume isotropic ion heating, the above mean shock conditions and the observed cut-off  $\varphi_c = 50^\circ$ , then Stringer's theory would yield  $T_{i2} = 6.2 \text{ eV}$ . This is about three times the adiabatic value and is therefore counter to the normal assumption that ion heating is adiabatic below the critical Alfvén Mach number  $M_A = 2.7$ . However the experimental evidence (PAUL et al, 1967; PAUL et al, 1971), cannot preclude the possibility of the small amount of irreversible ion heating required here.

Other linear instabilities have been proposed (GARY, 1970; GARY and SANDERSON, 1970; GARY and BISKAMP, 1971; LASHMORE-DAVIES, 1970) which could drive the turbulence within the perpendicular shock wave, namely

- (a) Growth of negative energy (Doppler shifted) Bernstein wave when Landau damped, and
- (b) Unstable crossing of Doppler shifted Bernstein modes with the ion-wave mode.

While the scaling of frequency spectrum with  $\omega_{pi}$  for different plasma condition (DAUGHNEY et al. 1970; PAUL et al., 1971) excludes the possibility of the former, the latter has not been completely ruled out.

The instability due to Bernstein waves are restricted to a fan about  $\vec{J}_e$  within a few degrees of perpendicular to  $B$ , i.e.  $\psi_c \sim 2^\circ$ . Consequently the cut-off angle  $\psi_c$  in the plane containing the magnetic field is crucial in deciding which instability is dominant. The measurements have been limited, so far, to the plane perpendicular to the magnetic field. If the cut-off angle  $\psi_c$  is larger than  $\varphi_c$  as suggested by equation (11), this will not only further support the model of ion-wave turbulence, but also the presence of ion temperature anisotropy within the shock front.

The peak in  $S(\varphi)$  at  $\varphi^* = 20^\circ$  (i.e. between  $-\vec{J}_\theta$  and  $-\vec{J}_\theta \times \vec{B}_z$ ) appears to be genuine and has been confirmed recently in another experiment (MACHALEK, 1972). Also a recent computation (CHODURA, 1972), has produced a maximum in  $S(\varphi)$  shifted by  $25^\circ$  in the same direction as is observed. Unfortunately, at present there is no physical interpretation of this angular shift. These two-dimensional computations also confirm our assumption (PAUL et al., 1971) that the magnetic field has little effect on the basic ion wave turbulence produced by current across a magnetic field as in the shock described.

## ACKNOWLEDGEMENTS

The authors wish to acknowledge the encouragement and advice from Dr R.J. Bickerton, and the technical assistance from Mr L.S. Holmes and Mr P.R. Hedley.

## REFERENCES

- CHODURA, R. Private communication. To be published in  
Physics of Fluids.
- DAUGHNEY, C.C., HOLMES, L.S. and PAUL, J.W.M., Phys. Rev.  
Letts., 25, 497 (1970).
- GARY, S.P. and SANDERSON, J.J., J. Plasma Phys., 4,  
739 (1970).
- GARY, S.P., J. Plasma Phys., 4, 753 (1970).
- GARY, S.P. and BISKAMP, D., J. Phys. A., 4, L27 (1971).
- KADOMTSEV, B., 'Plasma Turbulence' (Academic Press, New  
York, 1965).
- LASHMORE-DAVIES, C.N., J. Phys. A., 3, L40 (1970).
- MACHALEK, M.D., Dissertation, University of Texas, (1972).
- PAUL, J.W.M., HOLMES, L.S., PARKINSON, M.J. and SHEFFIELD, J.  
Nature, 208, 133 (1965).
- PAUL, J.W.M., GOLDENBAUM, G.C., IIYOSHI, A., HOLMES, L.S.  
and HARDCASTLE, R.A., Nature, 216, 363 (1967).
- PAUL, J.W.M., DAUGHNEY, C.C., HOLMES, L.S., RUMSBY, P.T.,  
CRAIG, A.D., MURRAY, E.L., SUMMERS, D.D.R. and  
BEAULIEU, J., In 'Plasma Physics and Controlled  
Nuclear Fusion Research' (International Atomic Energy  
Agency, Vienna, 1971), vol.III, p.251.
- STRINGER, T.E., Plasma Physics, 6, 267 (1964).

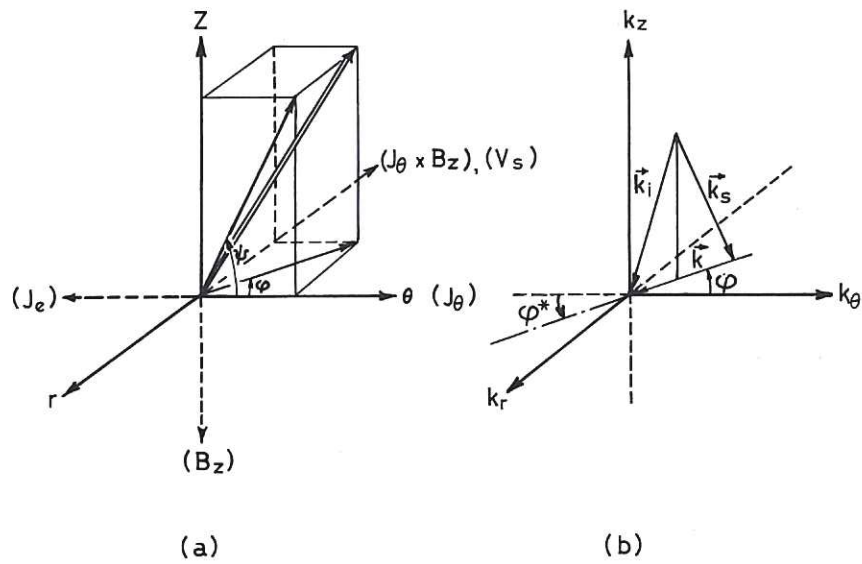


Fig.1 Coordinates. (a) Physical space. The directions of shock propagation, current, and magnetic field are expressed by  $-r$ ,  $\theta$ , and  $-z$ , respectively. (b)  $k$ -space.  $\vec{k} = \vec{k}_i - \vec{k}_s$ .

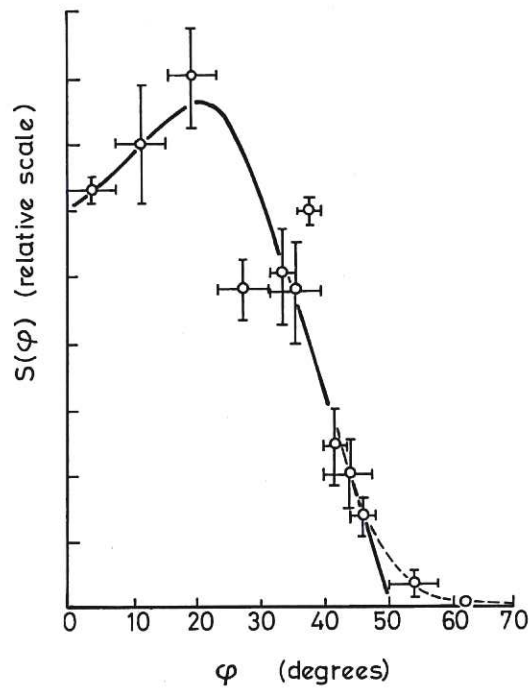


Fig.2 Dependence of the intensity of turbulence on the angle  $\phi$  from the direction of azimuthal current in the plane perpendicular to the magnetic field.





