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# Modelling of beam-driven Alfvén modes in TJ-II plasmas

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## Abstract

The properties of hydrogen neutral beam injection driven Alfvén eigenmodes (AEs) in the TJ-II flexible heliac are investigated using a reduced magnetohydrodynamic (MHD) model coupled to a realistic MHD equilibrium. The simulation results of AE frequency and radial localization are found to be broadly consistent with experimental observations. The simulation results show that an AE with the same poloidal and toroidal harmonics persists under small variations in on-axis iota values, which is consistent with the experimental observations. The corresponding wave-particle resonance maps suggest, for low bounce harmonics, the possibility of describing nonlinear evolution of the AEs in TJ-II by a sum of two ion populations with different weighting factors, one of which is dominated by drag and the other by diffusion. As the bounce harmonic increases, the resonance region starts to expand and can cover a significant area of the particle phase space until this resonance region vanishes at high bounce harmonics.

Keywords: Alfvén eigenmodes (AEs), steady and chirping modes, drag and diffusion of fast ions, modelling of Alfvénic instabilities

(Some figures may appear in colour only in the online journal)

## 1. Introduction

Fast particle driven Alfvénic instabilities can have a crucial impact on the efficient heating of burning fusion plasmas. Stellarators are disruption-free steady-state helical devices aimed at sustained magnetic fusion power. To solve the problem of plasma self-heating with fusion-born alpha particles, the transport properties of the alpha particles must be known with a high level of confidence. Alfvén eigenmodes

(AEs) driven unstable by fast particles represent a significant uncertainty in predicting the transport of alpha particles. They must be investigated in detail in present-day experiments since they may lead to losses of fast ions on plasma-facing components and decrease the ignition margin. Modelling studies of AEs in three-dimensional (3D) magnetic fusion systems are of primary importance due to observed 3D effects such as coupling effects across different toroidal mode numbers in both tokamaks [1] and stellarators [2]. The calculation of Alfvén continuum and eigenmode structures are the first steps to take in order to compare theory and experiment for

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Alfvén stability and confinement of energetic particles (EPs), enabling comparisons of modelling and experimental results to assess the validity of the AE physics models. Among the 3D magnetic fusion devices, the physics of AEs in stellarators is quite different from toroidally axisymmetric devices such as tokamaks due to different mode couplings and mode families corresponding to the number of field period ( $N_{fp}$ ) in the toroidal direction of stellarators.

A number of numerical tools of varying complexity and approaches have been developed to investigate Alfvénic instabilities and wave-particle interaction in 3D geometries. For 3D linear ideal magnetohydrodynamic (MHD) continuum studies, the COBRA code [3] solves linear ideal MHD in Boozer coordinates and CONTI code [4] computes the coupling between Alfvén and sound waves in stellarator plasmas. For the investigation of properties for AEs and the interaction with EPs in 3D magnetic fusion systems and the CKA code [5]; based on reduced MHD and finite Larmor radius effects and the BOA code [3] as well as a reduced-MHD model solving eigenvalue problems with symmetric matrices have been developed. In this paper, for 3D flexible heliac TJ-II plasmas, the shear Alfvén continuum calculations are performed with the STELLGAP code [6] based on the theoretical description given in [7]. In the next step, the analysis of AEs is carried out with the AE3D [8], a clustered frequency solver for the computation of eigenmodes centered on a specified target frequency. The centered frequency algorithm of the AE3D code is based on application of the Jacobi–Davidson method to a reduced MHD model [9]. This tool is more efficient by a factor of  $10^2$ – $10^3$  in finding a subset of eigenmodes around a unique input frequency as compared with conventional methods. The 3D numerical tools employed for the investigation of AE instabilities have been successfully previously applied to investigate such instabilities in MST [10], LHD [11] and TJ-II [12, 13].

In the present work, the experimental findings in [14] have motivated the investigation of AE properties in a dynamic configuration scan (iota varies during discharge) of TJ-II stellarator. The iota, also known as rotational transform, in stellarators is equivalent to the inverse of the safety factor  $q$ , where  $q$  is equal to the number of toroidal transits per single poloidal transit of a field line on a toroidal magnetic flux surface. During the iota scan obtained by changing an external coil current, nonlinear evolution of the beam-driven AEs changed from bursting amplitude-sweeping frequency to steady amplitude-steady frequency, and then back. The understanding of this behaviour is of importance because it would have direct implications on the type of alpha-particle losses (pulsating or steady-state) in future 3D magnetic fusion systems.

This paper is organized as follows: experimental observations for the modelling of modes are given in section 2. Section 3 describes the 3D numerical model used. Section 4 explains the equilibrium calculation in TJ-II plasmas for TJ-II dynamic discharges. Furthermore, section 5 presents the simulation results for both iota ramp-down and ramp-up cases. In section 6, the results for wave-particle resonances in TJ-II are presented. Section 6 includes a discussion on two fast

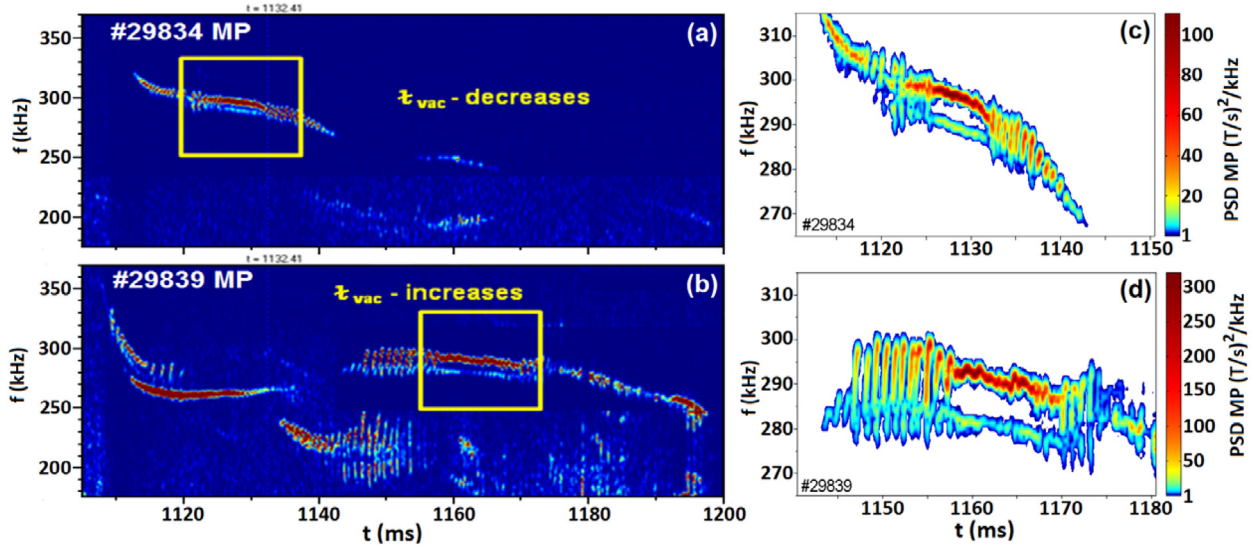
particle populations with different weighting factors as a possible explanation for the observed non-linear evolution of the modes. Finally, section 7 includes a summary and concluding remarks.

## 2. Experimental observations

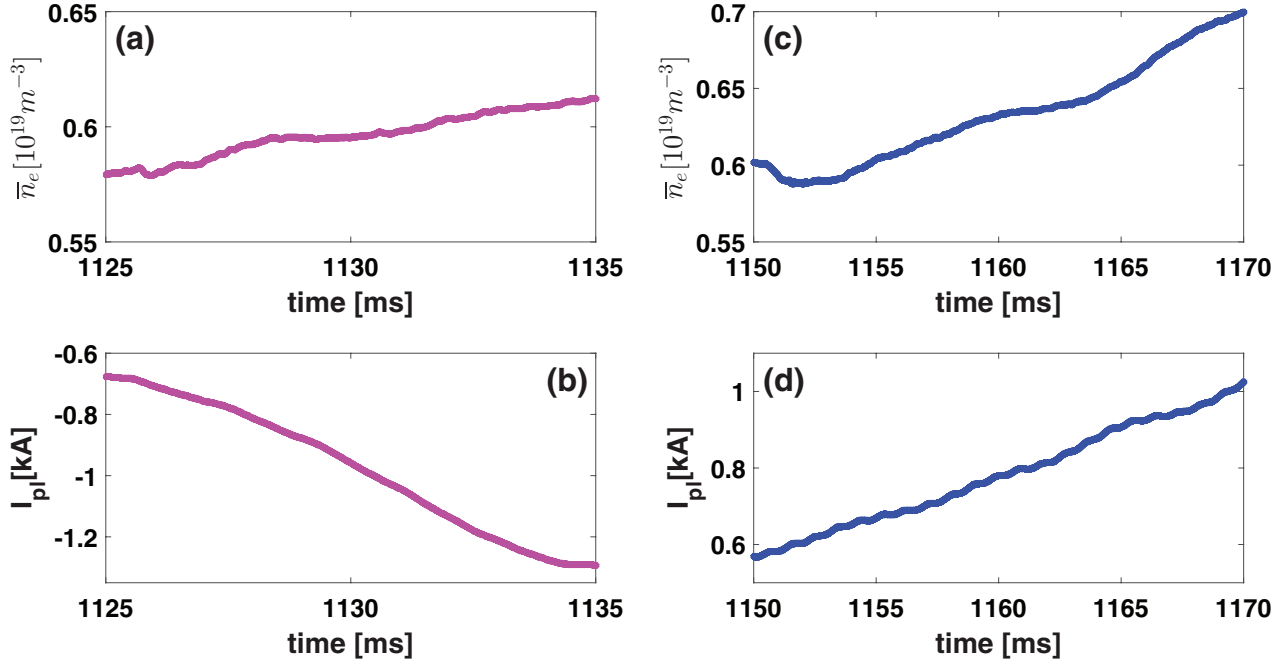
TJ-II is a four-field period ( $N_{fp}$ ) = 4 flexible heliac with a low magnetic shear, equilibrium magnetic field  $B_0 = 0.95$  T, major radius  $R_0 = 1.5$  m and averaged minor radius  $\bar{a} \leq 0.22$  m. The flexibility of TJ-II allows for the investigation of the properties of AEs by setting-up static discharges, i.e. discharges with a magnetic configuration [15], as well as dynamic discharges, i.e. discharges with a time-varying configuration scan that shows a changing rotational transform profile [16]. In the TJ-II experiments considered here, fast hydrogen ions are introduced in hydrogen plasmas by neutral beam co-injection, tangential to plasma axis (zero tangential radius) with a beam energy  $E_{NBI} = 30$  keV and injected power  $P_{NBI} = 0.56$  MW. In both discharges, the neutral beam injection (NBI) operates from 1100 to 1195 ms. These beam particles have a velocity of  $V_{NBI} \sim 2.5 \times 10^6$  m s<sup>-1</sup> satisfying  $V_{NBI} \geq V_A/3$  where  $V_A$  is the Alfvén velocity. In these experimental conditions, the slowing time of fast protons on electrons is of the order of 30–40 ms and the characteristic time for pitch angle scattering is of the order of a few ms. The critical energy below which pitch angle scattering becomes dominant is about 10 keV.

In our investigations of AE properties in TJ-II, we focus on two low density ( $\bar{n}_e = 1.0 \times 10^{19}$  m<sup>-3</sup>) L-mode discharges 29834 and 29839, for which  $V_A = 5.12 \times 10^6$  m s<sup>-1</sup>. In both discharges, coexisting chirping and steady Alfvénic modes are observed. The observed Alfvénic activity is shown in the corresponding spectrograms in figure 1 together with the zoom of observed modes revealing both chirping and steady modes. In discharges #29834 and #29839, the magnetic configuration was scanned dynamically, including iota profile, by varying the currents in the external coils during the discharges, keeping all the other experimental settings unchanged. In discharge #29839, the iota was ramped up, while in discharge #29834 the iota was ramped down. A heavy ion beam probe (HIBP) [12] was used to investigate AEs. The HIBP data show that modes are located around  $\rho = 0.55$  and the frequencies of modes are around 295 kHz, where  $\rho$  is a normalized radial parameter defined as  $\rho = \sqrt{\psi_{tor}}$  and  $\psi_{tor}$  is the normalized toroidal flux. The frequencies of these modes are confirmed with magnetic diagnostics and by using cross coherence between different signals.

The temporal evolution of the central plasma density and current shows small variations during the time windows of mode transformation as shown in figure 2. While these variations are small, they can contribute to the details of the mode behaviour. Their effects have been studied in detail in other TJ-II experiments [16]. In the numerical modelling presented in this paper, we have only retained the dominant iota variation in time as described later in section 4 while assuming that the variation in the plasma density and current in time has a



**Figure 1.** Time evolution of AE modes with iota variation. (a) Poloidal field ( $B_{\text{pol}}$ ) spectrograms, measured by a Mirnov probe (MP) in shot #29834 with iota ramp-down. (b) Poloidal field ( $B_{\text{pol}}$ ) spectrograms, measured by MP in shot #29839 with iota ramp-up. Figures (c) and (d) show zooms of (a) and (b), respectively, focusing in the time period of the mode transformation. Reproduced courtesy of IAEA. Figure from [14]. Copyright 2016 IAEA.



**Figure 2.** The temporal evolution of plasma density averaged over the central chord line and plasma current. (a) central chord line averaged plasma density and (b) total plasma current for the discharge #29834 and (c) central chord line averaged plasma density and (d) total plasma current for the discharge #29839.

negligible effect on the mode behaviour. As an extension of this work, we are investigating the effect of plasma current on the iota profile and thereby on AEs. Preliminary results with monotonic and non-monotonic iota profiles caused by finite plasma current are presented [19].

### 3. Mathematical model

In this section, we briefly describe the mathematical model for the 3D modelling of AEs. First, the Alfvén continuum solver

STELLGAP [6] is presented, followed by the discussion of the clustered frequency model AE3D [8].

#### 3.1. The continuum solver STELLGAP

The continuum solver STELLGAP is based on the Alfvén continuum equation derived in [7] for the stellarator geometry. In the incompressible limit for low  $\beta$  plasmas it is given as

$$\mu_0 \rho \omega^2 \frac{|\nabla \psi|^2}{B^2} \xi_s + \vec{B} \cdot \vec{\nabla} \left\{ \frac{|\nabla \psi|^2}{B^2} (\vec{B} \cdot \vec{\nabla}) \xi_s \right\} = 0 \quad (1)$$

where the magnetic surface displacement is  $\xi_s = \vec{\xi}(\vec{B} \times \vec{\nabla}\psi)/|\nabla\psi|^2$ . This equation can be represented for different poloidal ( $m$ ) and toroidal ( $n$ ) mode numbers ( $m, n$ ) as a symmetric matrix eigenvalue equation,

$$\omega^2 \overleftrightarrow{A} \vec{x} = \overleftrightarrow{B} \vec{x} \quad (2)$$

where  $\vec{x}$  is a vector having different components of  $\xi_s$ . With this set of equations, the Alfvén continuum is computed by STELLGAP for all relevant mode numbers ( $m, n$ ) using the DGEGV routine from the IBM ESSL library.

### 3.2. AE3D eigenmode solver

The eigenmode solver AE3D [8] calculates Alfvén eigenmodes in 3D equilibria using a reduced MHD model of shear Alfvén waves for three-dimensional toroidal plasmas. In this reduced system, the two basic laws, i.e. the ideal MHD Ohm's law and the 3D vorticity equations, lead to an eigenvalue problem.

$$\begin{aligned} \omega^2 \nabla \cdot \left( \frac{1}{V_A^2} \nabla \phi \right) + (\vec{B} \cdot \vec{\nabla}) \left\{ \frac{1}{B} \nabla^2 \left( \frac{\vec{B}}{B} \cdot \nabla \phi \right) \right\} \\ + \nabla \zeta \times \nabla \left( \frac{\vec{B}}{B} \cdot \nabla \phi \right) \cdot \nabla \frac{J_{\parallel 0}}{B} = 0. \end{aligned} \quad (3)$$

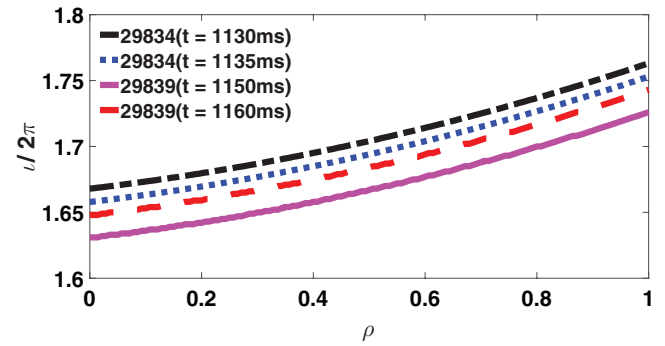
This eigenvalue problem is solved in AE3D using a Jacobi–Davidson style QZ (JDQZ) algorithm [17]. AE3D achieves faster performance by pre-specifying an eigenvalue (frequency) centre for the eigenmode search and by computing with JDQZ a subset of all eigenvalues.

## 4. Equilibrium for TJ-II dynamic plasmas

We start our investigations with equilibrium reconstructions for TJ-II discharges #29834 and #29839. We perform the equilibrium reconstruction calculations using the VMEC code [18] by taking into account the appropriate TJ-II magnetic geometries. VMEC constructs an equilibrium by employing a variational method to find a minimum of the system energy.

Since the experimental findings show a time evolution between chirping and steady types of AEs and back in both cases, we focus our analysis on a number of time slices that exhibit different mode activity in both discharges. The main part of our simulations is carried out for time slices  $t = 1130$  and  $1135$  ms in discharge #29834, corresponding to steady and chirping modes, respectively, and for time slices  $t = 1150$  and  $1160$  ms in discharge #29839, corresponding to chirping and steady modes, respectively. In the rest of the paper, our discussion relates to the results for these time slices unless otherwise noted. However, we have also considered additional time slices to check whether the trends emerging from the modelling hold in general. In the following sections, we will clearly indicate when we are discussing the results of these additional time slices instead the time slices given above.

The TJ-II fixed-boundary equilibria have been reconstructed under experimental constraints for both discharges at the chosen times. The vacuum rotational transform ( $\iota/2\pi$ )



**Figure 3.** Iota profiles for the four selected time slices for both iota ramp-up and ramp-down discharges. These iota profiles are calculated with the VMEC converged equilibrium.

profiles for the VMEC reconstructed equilibria based on experimentally selected magnetic configuration for both discharges at the selected times are shown in figure 3. Here, for each modelled equilibrium time slice, the input data has been averaged over a short 1 ms long time window. The resulting iota profiles increase monotonically in radius and range between  $1.63 \geq \iota/2\pi \leq 1.76$ .

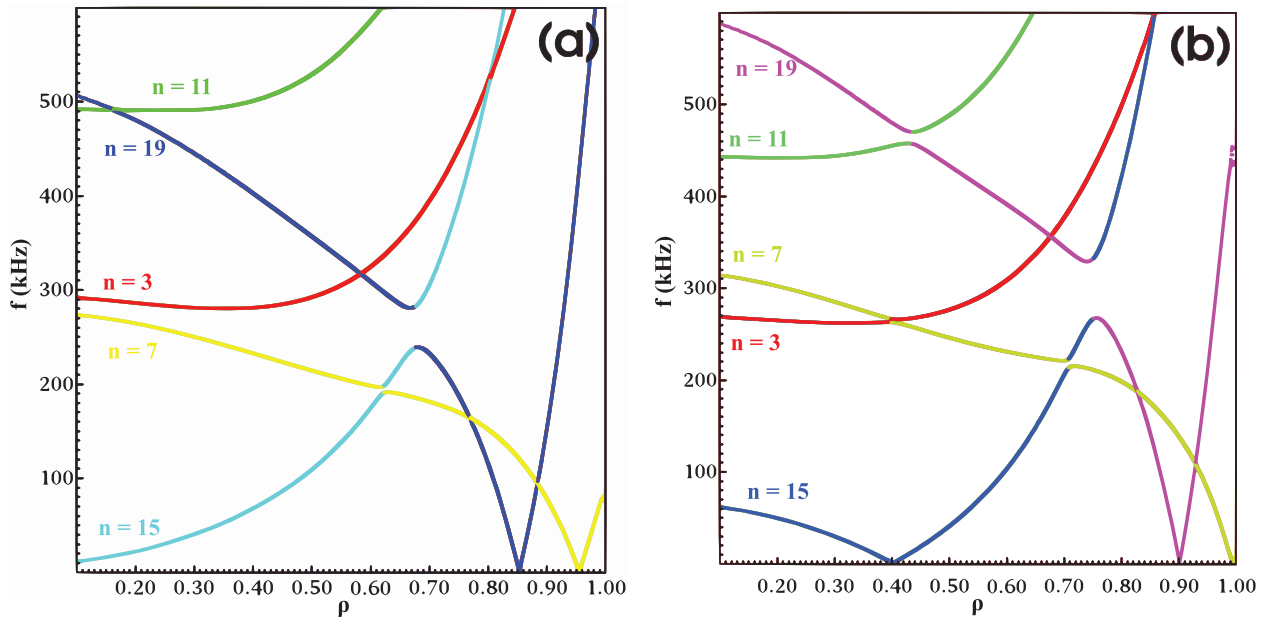
## 5. Simulation results and discussions

After setting-up the plasma equilibrium using VMEC, the STELLGAP simulations were performed to compute the Alfvén continuum. For the dominant toroidal mode number ( $n$ ), the results of shear Alfvén spectra are plotted for both discharges at the selected times. To illustrate the computed Alfvén eigenmode properties, the simulated electrostatic potential versus normalized radius from AE3D code are presented case by case.

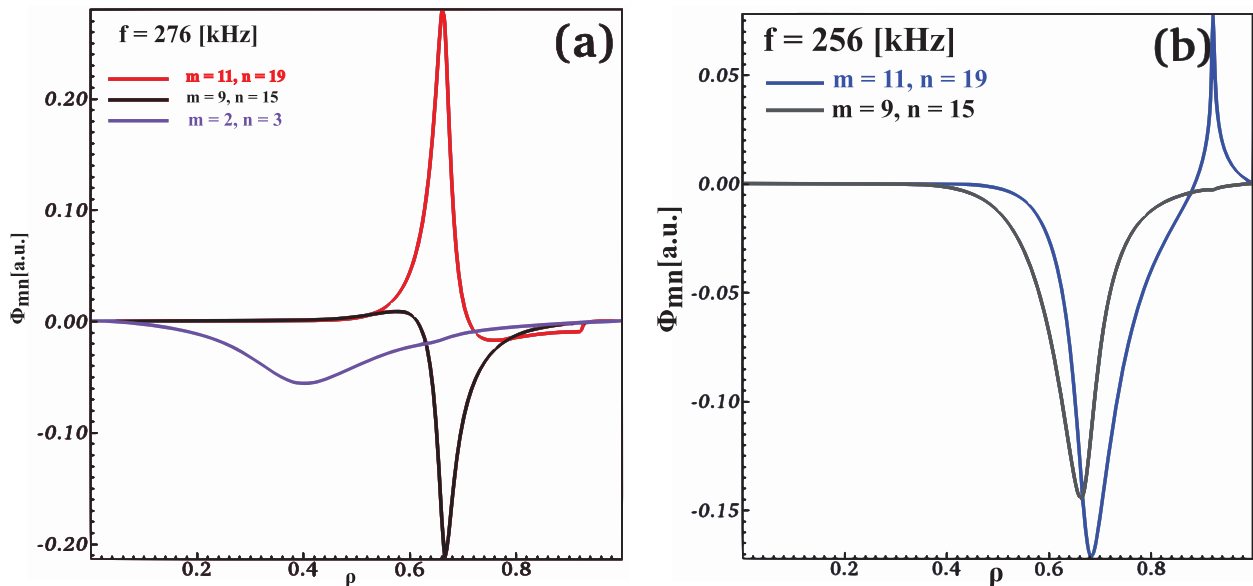
### 5.1. Simulation results for the ramp-down of iota in TJ-II

Alfvén continua calculated by the STELLGAP code for the discharge #29834 at  $t = 1130$  and  $1135$  ms are presented in figure 4, where the dominant toroidal mode number ( $n$ ) is highlighted by colour coding. In both shear Alfvén spectra, there are clear gaps visible about 250–350 kHz, which are considered as an initial frequency target for the search of AEs. AEs are computed with the AE3D code, and it is found that two prominent modes with  $m = 11$  and  $n = 19$  and  $m = 9$  and  $n = 15$  appear with different frequencies of 276 kHz shown in figure 5(a) and 256 kHz figure 5(b) at similar radial location  $\rho = 0.65$  in the steady AE-frequency case. Likewise, in the chirping AE-frequency case, AEs with same mode numbers and higher frequencies of 292 and 287 kHz are found at radial location  $\rho = 0.75$  as shown in figure 6. Comparison of results in the iota ramp-down case shows that there is a small increase in frequencies from the steady to chirping mode transition and the modes are also displaced radially outwards. The observed frequency increase is explained by the decrease of the density at the outer radial positions.

The radial extent  $\delta\rho$  of AEs was calculated as the full width at half maximum of the simulated potential structures.



**Figure 4.** Shear Alfvén spectra for discharge #29834. (a) Alfvén continuum structures for steady mode and (b) Alfvén continuum structures for the chirping mode.



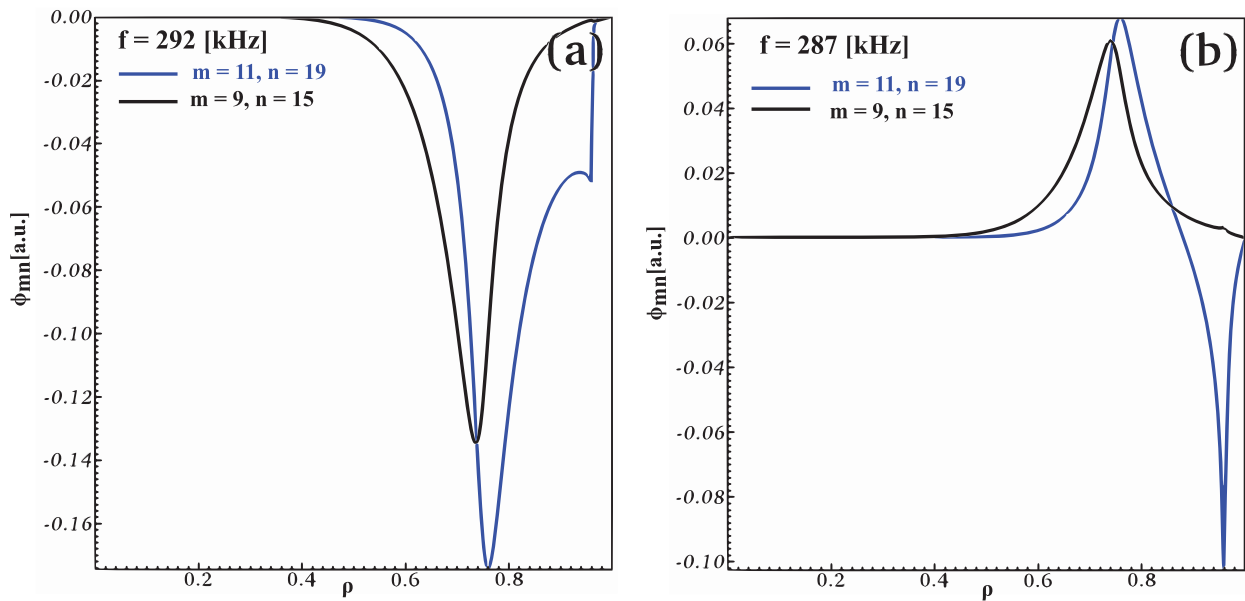
**Figure 5.** Alfvén eigenmodes for the steady mode in discharge #29834. (a) An AE with three different sets of prominent mode numbers ( $m, n$ ) having single dominant frequency 276 kHz and (b) AE with two different sets of prominent mode numbers ( $m, n$ ) having single dominant frequency of 256 kHz.

For both discharges, our results show that the radial extent of AEs shrinks from  $\delta\rho = 0.11$  in the chirping phase to a minimum of  $\delta\rho = 0.04$  during the steady phase. Thus, it can be suggested that the modes calculated during steady phase are relatively more peaked than those in the chirping phase, however for some modes,  $\delta\rho$  has no significant difference between the steady phase and the chirping phase. Simulations for an additional earlier time slice  $t = 1125$  ms show the same prominent modes as for the time slices  $t = 1130$  and  $1135$  ms. At  $t = 1125$  ms, the mode frequencies are 246 and 232 kHz and the mode is radially located at  $\rho = 0.6$ . In conclusion, according to our modelling results, when the iota is ramped down in discharge #29834, the prominent AE remains the

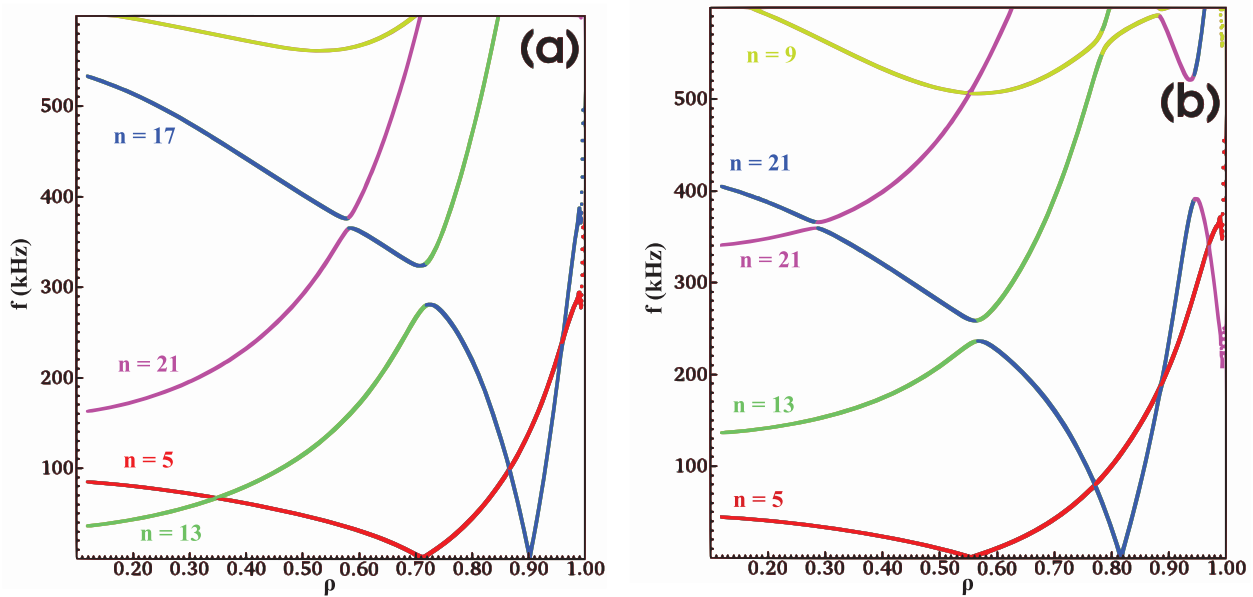
same while its frequency increases slightly and it moves to larger  $\rho$ . The modelled evolution of the mode frequency is in agreement with experimental observations.

## 5.2. Simulation results for the ramp up of iota in TJ-II

In section 5.1, we have shown the results of ur modelling for the TJ-II discharge #29834 with the ramp down of the iota. In this section we consider TJ-II discharge #29839 with the opposite time variation in iota, i.e. iota ramp-up. Similar to discharge #29834, here we also compute the Alfvén continuum for discharge #29839 using STELLGAP at  $t = 1150$  and  $1160$  ms exhibiting a chirping and steady mode,



**Figure 6.** Alfvén eigenmodes for the chirping mode in discharge #29834. (a) An AE with two different sets of prominent mode numbers ( $m, n$ ) having single dominant frequency 292 kHz and (b) an AE with two different sets of prominent mode numbers ( $m, n$ ) having single dominant frequency of 287 kHz.



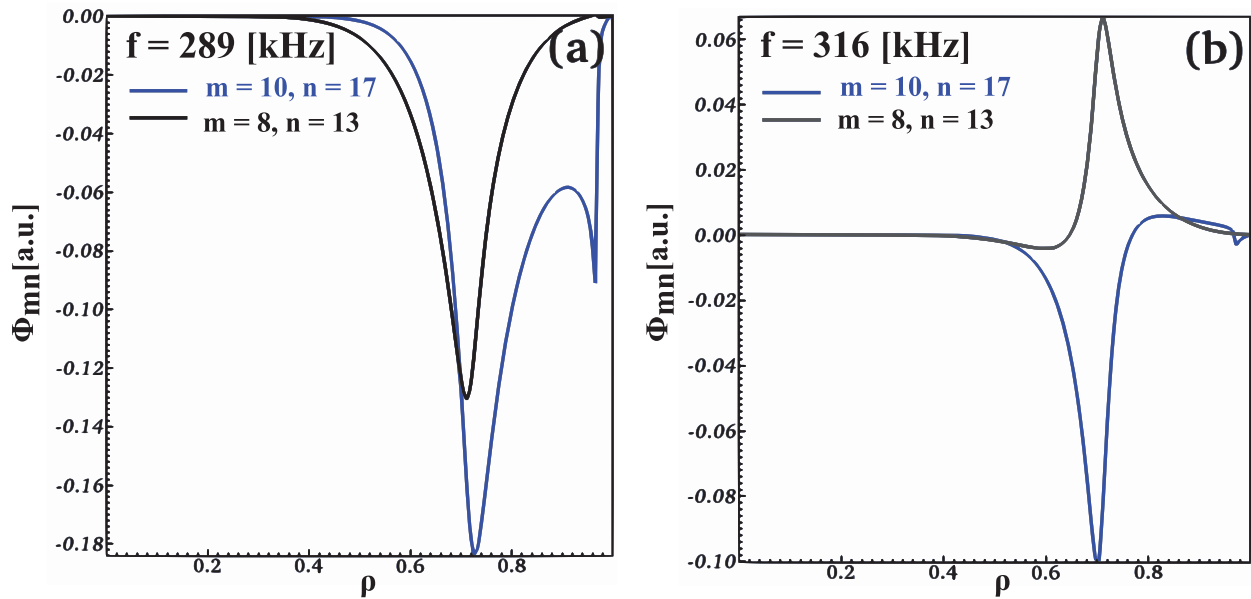
**Figure 7.** Shear Alfvén spectra for discharge #29839. (a) Alfvén continuum structures for the chirping mode and (b) Alfvén continuum structures for the steady mode.

respectively. Figure 7 displays the calculated continua with different colours representing the different dominant toroidal mode numbers. The clear open gaps in the continuum structures at the cross coupling of  $n = 17$  and  $13$  can be seen in both cases ranging in the frequencies of  $300\text{--}220$  kHz with radial localization of  $\rho = 0.7$  to  $\rho = 0.6$ . Again, the frequency change is explained by the lower densities at outer positions.

Again, AEs are computed using the AE3D code and plotted in figures 8 and 9 for the chirping and steady modes respectively. It is interesting to note that in both cases the two observed modes remain the same, i.e. their mode numbers  $m = 10$  and  $n = 17$  and  $m = 8$  and  $n = 13$  are the unchanged for the chirping and steady mode phases. However, there is a

decrease in the mode frequencies from 289 and 316 kHz in the chirping case shown in figure 8 to 254 kHz in the case of steady mode shown in figure 9, explained by the higher density values at the mode position. Moreover, there is a radial inward movement of the mode from  $\rho = 0.7$  to  $\rho = 0.55$  when the AE structure changes from a chirping mode to steady mode. We consider the modes with  $m = 10$  and  $n = 17$  and  $m = 8$  and  $n = 13$  as the prominent modes consistent with experimental results, despite the slight decrease in their frequency from 289 to 254 kHz.

Similarly, as for the case with the iota ramp-down, we have extended our simulations for discharge #29839 to an additional time slice  $t = 1170$  ms with chirping AE activity and at



**Figure 8.** Alfvén eigenmodes for the chirping mode in discharge #29839. (a) An AE with two different sets of prominent mode numbers ( $m, n$ ) having single dominant frequency 289 kHz and (b) an AE with two different sets of prominent mode numbers ( $m, n$ ) having single dominant frequency of 316 kHz.

higher iota value. At this time slice, our results show that there are also two different prominent modes. One of them having  $m = 8$  and  $n = 13$  is the same and the second one with dominant mode numbers  $m = 12$  and  $n = 21$  is unique as compared with the last two cases of discharge #29839. Both of these modes have the same frequency of 334 kHz which is relatively higher than the prior cases at  $t = 1150$  and  $1160$  ms. These modes are located radially further outwards at  $\rho = 0.8$  than the modes at the previous time points.

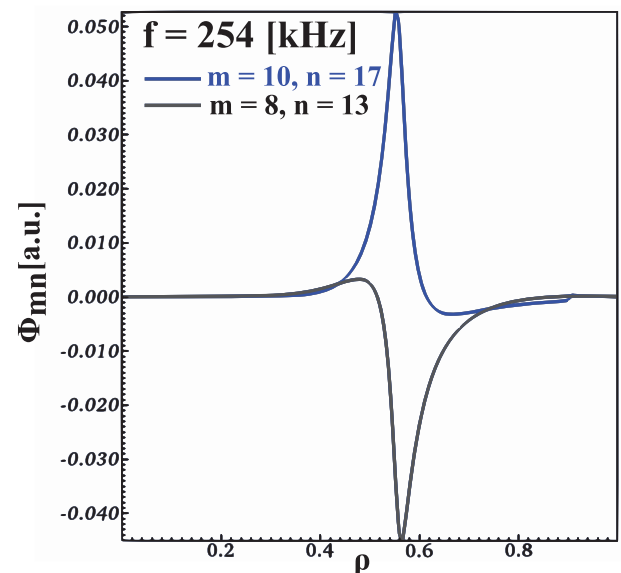
We have also carried out simulations to check the Alfvénic character of the modes. For this purpose, we have performed simulations at different electron densities. We find that the calculated mode frequency scales as  $1/\sqrt{n_e}$ , which confirms the Alfvénic character of the mode (see figure 10).

## 6. Wave-particle resonances in TJ-II

To assess the phase space region of the neutral beam injected fast ions which provides the main contribution to the wave-particle interaction, a resonance map of contours of

$$\Omega \equiv \omega - n\omega_\phi - p\omega_\theta = 0 \quad (4)$$

was computed with the particle-following code DELTA5D [20, 21] for the beam injection geometry and magnetic equilibrium specific for the chosen time slices of interest. Here,  $\omega_\phi$  and  $\omega_\theta$  are the toroidal orbit frequency and the poloidal precession frequencies of the beam ions, respectively,  $n$  and  $\omega$  are toroidal mode number and frequency of the AE, respectively, and the integer  $p$  is the bounce harmonic. In stellarators, due to the lack of axisymmetry, the toroidal mode number  $n$  is no longer a good quantum number since any specific toroidal mode can be coupled through the equilibrium variation of the magnetic field to other toroidal mode numbers displaced by  $N_{fp}$ , the field period number of the stellarator. Therefore,



**Figure 9.** Alfvén eigenmodes for steady mode in discharge #29839. An AE with two different sets of prominent mode numbers ( $m, n$ ) having single dominant frequency 254 kHz.

it is necessary to characterize stellarator mode structures in terms of toroidal mode families [22, 23] consisting of  $n \pm N_{fp}, n \pm 2N_{fp} \dots$ . For any observed Alfvén instability, one of these  $n$ 's will be dominant and this primary value of  $n$  is the one considered here for resonance evaluations. For assessing the resonances for the AEs found at different radii, beam particles were launched from the positions of  $\rho = 0.25, 0.55$  and  $0.75$ .

For each value of  $\rho$ , fast hydrogen ions were launched in the range of energies  $5 \text{ keV} < E < 20 \text{ KeV}$  and pitch-angles  $1 \text{ T} < E/\mu < 9 \text{ T}$ . For every fast ion launched, the corresponding drift orbit were computed assuming unperturbed particle motion, and the characteristic frequencies  $\omega_\phi$  and



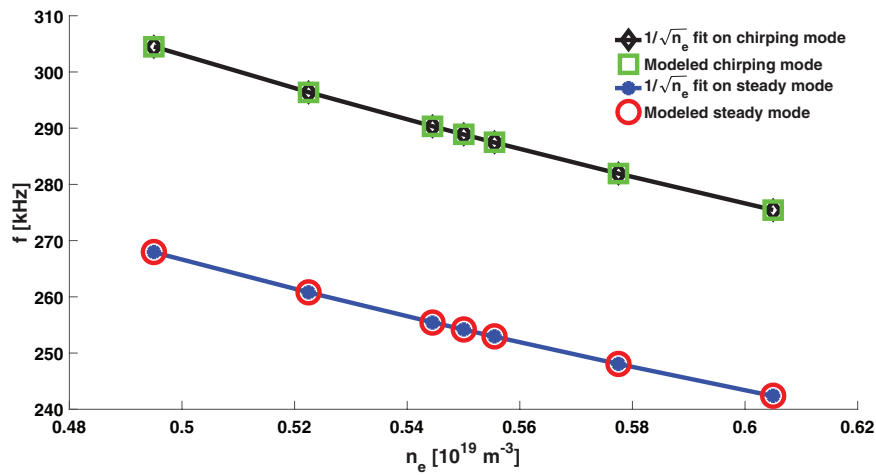


Figure 10. Frequency of the prominent modes as given by the AE3D code for discharge #29839 as a function of the electron density compared with the Alfvénic density scaling of the frequency as  $1/\sqrt{n_e}$ .

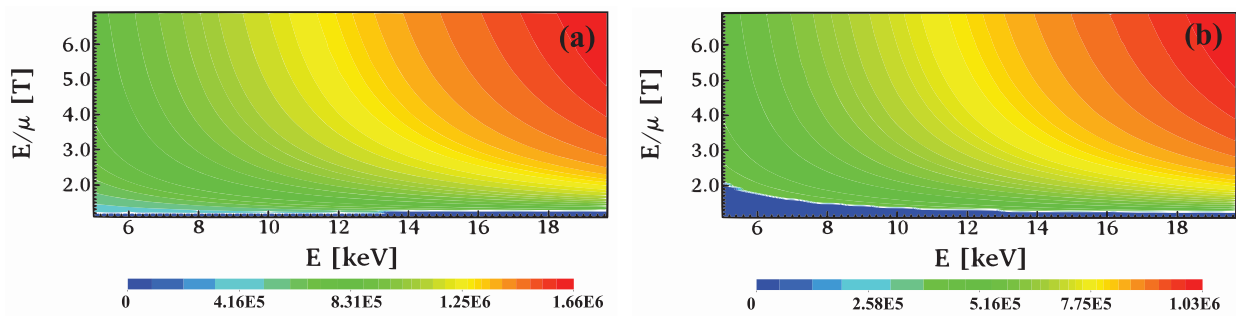


Figure 11. Contour plots of (a) poloidal orbit frequency  $\omega_\theta$  and (b) the toroidal precession frequency  $\omega_\phi$  for the beam ions launched at  $\rho = 0.25$ .

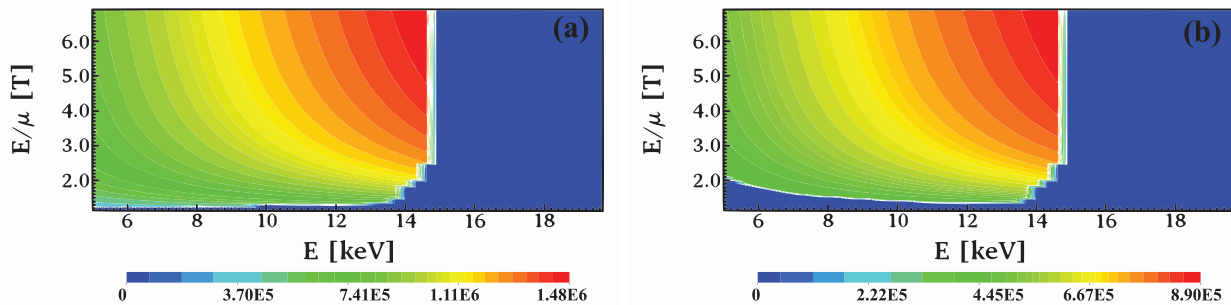


Figure 12. Contour plots of (a) poloidal orbit frequency  $\omega_\theta$  and (b) the toroidal precession frequency  $\omega_\phi$  for the beam ions launched at  $\rho = 0.55$ .

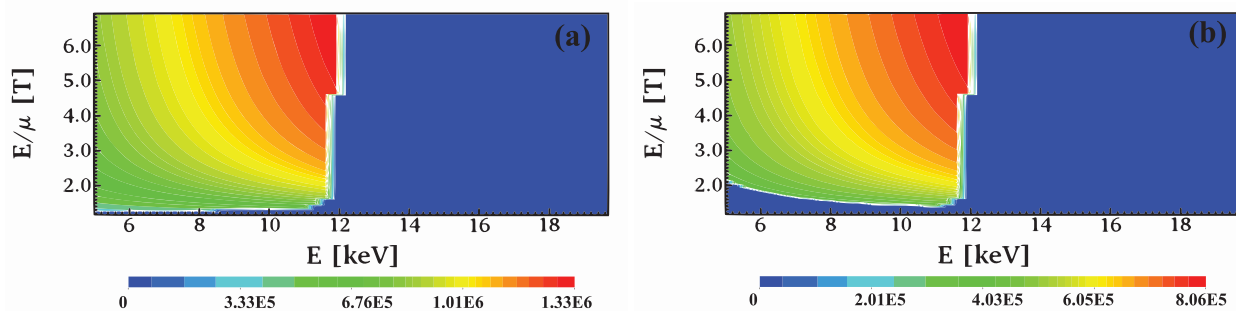
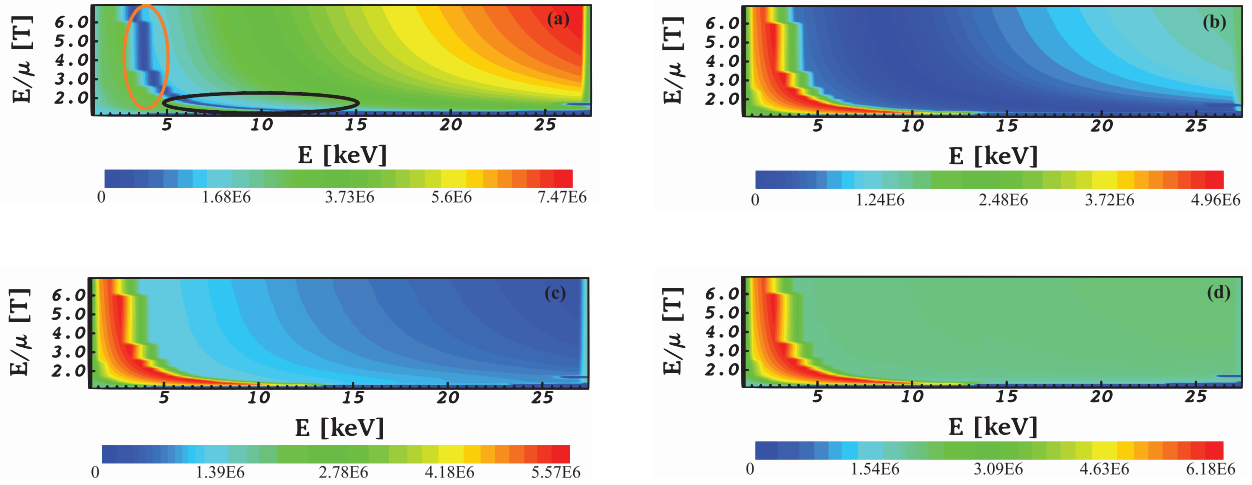
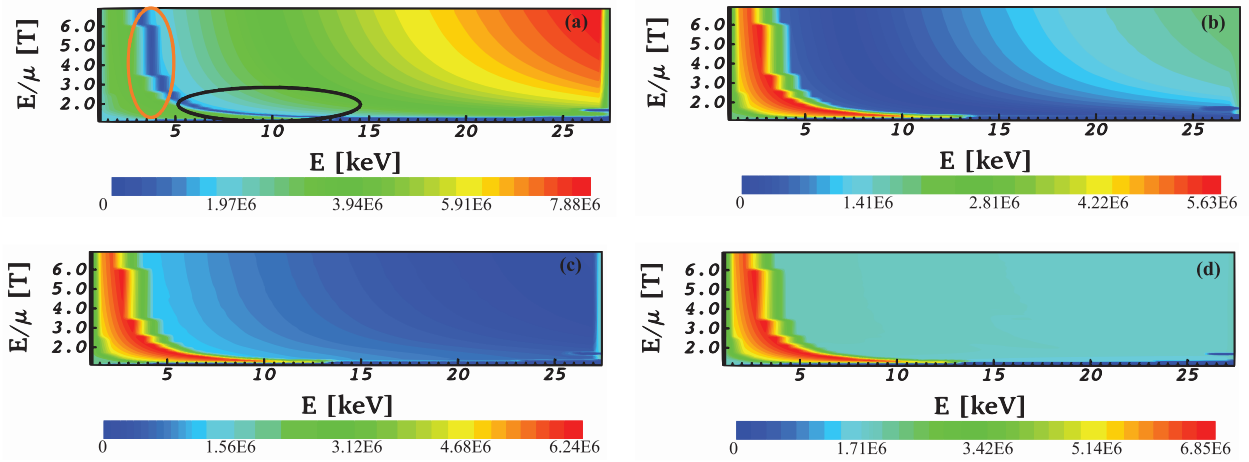


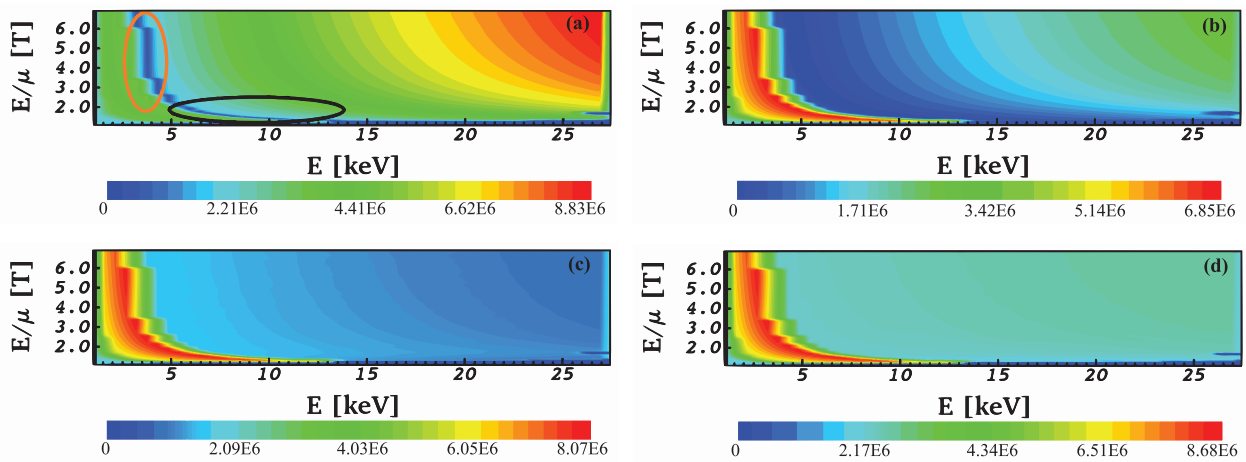
Figure 13. Contour plots of (a) poloidal orbit frequency  $\omega_\theta$  and (b) the toroidal precession frequency  $\omega_\phi$  for the beam ions launched at  $\rho = 0.75$ .



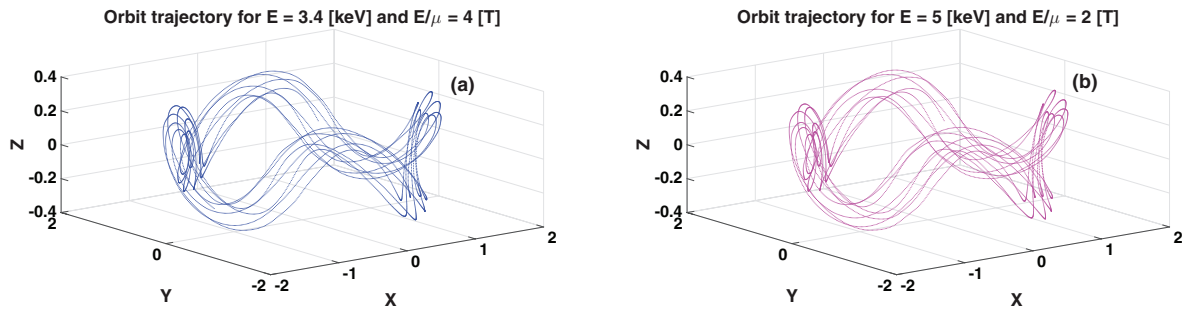
**Figure 14.** Resonance maps  $\Omega \equiv \omega - n\omega_\phi - p\omega_\theta = 0$  for the core-localised AE with a frequency of 306.57 kHz and  $n = 11$  for beam ions launched at  $\rho = 0.25$ . Resonance map for (a)  $p = 0$  to 2, here the orange oval marks region 1 which is narrow in energy, but insensitive to pitch angle. The black oval marks region 2 which covers a narrow range of pitch angles and extends in energy, (b) the extended resonance region with  $p = 5$ , (c) movement of resonance region towards higher energy for  $p = 6$  and (d) no resonance at  $p = 7$ .



**Figure 15.** Resonance maps for the edge-localised AE with a frequency of 316 kHz and  $n = 13$  for beam ions launched at  $\rho = 0.25$ . Resonance map for (a)  $p = 0$  to 3 having two regions of resonance similar to figure 14(a), (b) the extended resonance region with  $p = 6$ , (c) movement of resonance region towards higher energy for  $p = 7$  and (d) no resonance at  $p = 8$ .



**Figure 16.** Resonance maps for the same mode as shown in figure 15 with  $n = 17$ . Resonance map for (a)  $p = 0$  to 5 having two regions of resonance similar to figure 15(a), (b) the extended resonance region with  $p = 8$ , (c) movement of resonance region towards higher energy for  $p = 10$  and (d) no resonance at  $p = 11$ .



**Figure 17.** Orbit trajectories of two fast ions launched at  $\rho = 0.25$  in TJ-II that are resonant with the core-localised AE with a frequency of 306.57 kHz and  $p = 0$  and  $n = 11$ . (a) The orbits with  $E = 3.4$  keV and  $E/\mu = 4$  T are those from regions 1 and (b) the orbits with  $E = 5$  keV and  $E/\mu = 2$  T are those from regions 2, of the resonance map shown in figure 14(a).

**Table 1.** Summary of simulation results for the AE mode localizations and frequencies. Here,  $s$  and  $c$  correspond to the steady and chirping types of modes, respectively.

Discharges	Modes ( $m, n$ )	Frequency (kHz)	Radial location ( $\rho$ )
#29834	(11, 19) & (9, 15)	276 (s), 256 (s)	0.65
		292 (c), 287 (c)	0.75
	(2, 3)	272 (c), 275 (s)	0.40, 0.45
#29839	(10, 17) & (8, 13)	316 (c), 289 (c), 254 (s)	0.70, 0.70, 0.55
	(8, 13)	251 (s), 334 (c)	0.55, 0.80

$\omega_\theta$  were found as 2D functions of fast-ion energy and pitch-angle. The obtained 2D matrices for poloidal and toroidal frequencies of the drift orbits are shown in figures 11–13. As  $\rho$  increases from 0.25 (figure 11) to 0.55 (figure 12), and then to 0.75 (figure 13), the area of unconfined beam ions as indicated by the blue area expands, effectively pushing the phase space area of confined beam ions down to lower energies. Using the calculated poloidal orbit frequency and the toroidal precession frequency, one can now combine their 2D functions in the form (4) for various integer values of  $p$  and for the frequency and toroidal mode number of the AE of interest. The resulting 2D function is then the resonance map, showing the phase space regions involved in the wave-particle resonance as a function of energy and pitch-angle. Figure 14 shows resonance maps relevant to the core-localised AE with a frequency of 306.57 kHz, for a range of  $p$  values and  $n = 11$  for beam ions launched at  $\rho = 0.25$ . This high frequency core localised AE was considered a suitable candidate for resonance calculations because of its characteristics being similar to the global Alfvén eigenmode (GAE) having fewer poloidal couplings. The resonance maps for a relatively edge-localised AE having frequency 316 kHz and toroidal mode numbers 13 and 17 are computed to investigate the effect of higher frequency, toroidal mode number  $n$  and bounce harmonic  $p$  on the resonance condition. In figure 15 the resonance maps for an AE with a frequency of 316 kHz, for a range of  $p$  values and  $n = 13$  for beam ions launched at  $\rho = 0.25$  are presented and figure 16 presents similar results for  $n = 17$ . The special shape of the resonance map in figures 14(a)–16(a) suggests a natural division of the resonant ions in two phase space regions. Region 1 (orange oval) is narrow in energy ( $3 \text{ keV} < E < 4 \text{ keV}$ ), but insensitive to pitch angle, while region 2 (black oval) covers only a narrow range of pitch angles and

extends in energy ( $5 \text{ keV} < E < 12 \text{ keV}$ ). In region 1, the beam ions are affected by the electric field of AEs, and are replenished due to the beam energy scattering and electron drag. In contrast to this, region 2 replenishes by pitch-angle scattering (with a diffusive collisional operator). Therefore, in the case of the TJ-II resonance map, one arrives at the possibility of describing the nonlinear evolution of the AEs by a sum of two ion populations with different weighting factors, one of which is dominated by drag and the other by diffusion for lower values of the bounce harmonic  $p$ . For higher values of  $p$ , the resonance zone expands towards the higher energy range covering a significant part of pitch angle region and for further higher values of  $p$ , the resonance vanishes completely as shown in figures 14–16. It can also be noticed, for higher frequency and toroidal mode number  $n$  there is wider spectrum of  $p$  values which is available for two regions dominated resonance before reaching the zero-resonance region.

Figure 17 shows the orbits for two selected resonant fast ions as given by DELTA5D code in the drag and diffusion dominated regions of figure 14(a). Similar fast ion orbits were previously obtained with the ISDEP code for TJ-II [24, 25]. The modelled orbits are broadly similar in both regions and exhibit full sampling in both the poloidal and toroidal directions.

It was found earlier in [26, 27] that the type of the replenishment (by drag or by diffusion) of an unstable energetic particle distribution determines the nonlinear evolution of energetic particle-driven modes. In particular, it was shown that the drag-dominated distribution at the resonance could not give a steady-state evolution of the mode. Further development of this theory was recently performed [28] to include two species of the beam ions, one of which is reconstituted in the resonance area by drag only, and the other by diffusion

only. New nonlinear scenarios of the mode evolution were found and, particularly, a transition from the steady-state nonlinear AE evolution to the sweeping frequency (chirping) AEs as the proportion between the two types of the resonating ions was varied slightly. It could be of particular interest for future studies to investigate whether the iota variation in TJ-II experiments could affect the proportion between the resonant beam particles in area I (drag-dominated region) and area II (diffusion-dominated region) to see if the scenario observed in [28] is reproduced.

## 7. Summary and conclusions

Our 3D modelling results for dominant modes are summarized in table 1. We find that the reduced MHD simulations agree with the mode characteristics as measured by the HIBP diagnostic in discharges #29834 and #29839 at the specified times. The HIBP data show that modes are located around  $\rho = 0.55$  and the frequencies of modes are around 295 kHz. Good agreement between simulations and experiments is found in the frequency and radial location of the modes.

Simulations show that the case with iota ramping down in time moves the modes towards the outboard side while a ramp-up in iota pushes them to the inboard side. The inward movement of the modes suggests that EP confinement is improving. Moreover, the AE frequencies show a ramp-up and ramp-down trend for iota ramp-down and ramp-up, respectively. The combination of the toroidal ( $n$ ) and poloidal ( $m$ ) mode numbers at different iota windows or, equivalently, at different time slices of discharge enhances the confidence of appearance of same mode, in agreement with the experimentally observed co-existence of the mode. The corresponding wave-particle resonance maps suggest the possibility of describing the nonlinear evolution of the AEs in TJ-II by a sum of two ion populations with different weighting factors at lower values of bounce harmonics, one of which is dominated by drag and the other by diffusion.

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