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2 H-mode Power Threshold Studies on MAST

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Article

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9 Abstract: Analysis of the L-H and H-L transition power thresholds (Pth) and pedestal parameters 10 are presented for the Mega Ampere Spherical Tokamak (MAST). The dependencies of Pth on the 11 average, core plasma electron density, X-point height and plasma current are described. 12 Increasing X-point distance from the divertor floor over 10-12 cm, is found to increase Pth by a 13 factor of three. The X-point height dependence of Pth is also observed to be sensitive to the 14 plasma current; with an I_P decrease from 0.77 MA to 0.65 MA, also lowering Pth by a factor of three 15 and increases the Pth roll-over X-point height by 3 cm. Finally, a comparison of the experimental 16 results with the predictions by the Finite Beta Drift Wave model is made, which provides a 17 remarkably clear condition for the transition into and out of the H-mode.

18 Keywords: L-H transition; H-mode; Tokamak; Magnetically Confined Fusion; Pedestal;
 19

20 1. Introduction

21 The high confinement or H-mode [1] is the operational scenario for the next-step device ITER 22 The core and edge, radial plasma temperature and density profiles broaden following the [2]. 23 transition from L-mode to H-mode, leading to a reduced core plasma pressure peaking factor, 24 which allows higher stored energy limits [3,4]. In addition, the associated steep edge pressure 25 gradients drive a substantial bootstrap current that reduces the need for current drive [5]. While 26 enhanced stored energy and the bootstrap current are advantages of H-mode, the steep H-mode 27 pressure gradients are also characterised by periodic pedestal collapse known as Edge Localised 28 Modes (ELMs), which release high energy plasma particles to the plasma facing materials.

H-modes are typically accessed when the input heating power crosses a threshold value, all leading to a bifurcation in the edge plasma state, with edge or pedestal radial electron density, ne, temperature, Te, and pressure, Pe, profiles steepening and a substantial reduction in the edge plasma turbulence. While the trigger mechanism for the L-H transition has remained elusive, the minimum heating power needed to access the H-mode, the L-H power threshold, Pth, has been compared across devices and the main parametric dependence has been derived to be [6, 7]:

35 36 37

$$P_{th} = 0.0488 n_e^{0.72} B_t^{0.77} S_A^{0.94} \ , \eqno(1)$$

38 where n_e is the plasma line average density (x10²⁰ m⁻³), Bt is the toroidal magnetic field at the 39 magnetic axis (T) and SA is the plasma boundary surface area (m²).

40

In addition to these global parameters, it is known that each device H-mode access Pth has other dependences, which are referred to as 'hidden variables'. These include variations in the plasma boundary shape (number of X-points, magnetic balance, radial, poloidal, vertical location of the X-points and plasma elongation), plasma ion species, applied 3D non-axisymmetric fields, wall conditioning techniques and neutrals. The dependences of the L-H and H-L transition Pth on 46 these hidden variables are receiving renewed interest because of the anticipated heating power 47 availability on ITER, and the requirement to access and remain in the H-mode early in ITER 48 operation in hydrogen or helium plasmas, prior to high activation phases with deuterium and 49 tritium [7, 8].

50

Motivated by the need to further understand and control H-mode access on future tokamaks and in preparation for upcoming experiments on MAST-U, the Pth, for the L-H and H-L transitions dependence of MAST on the X-point height has been analysed and is presented here. The paper is structured as follows: section 2 describes the experimental set-up, the data analysis for the Pth and pedestal parameter dependence on $\langle \bar{n}_e \rangle$; the results from a study on the effect of X-point height on Pth are presented in section 3 and a comparison of the pedestal parameters is made the Finite Beta Drift Wave model [9] for zonal flow suppression of turbulence at the L-H transition in section 4.

58 Finally, the main conclusions from the study are summarised in section 5.

59 2. Density Dependence

60 The L-H and H-L transition times have been identified for a series of plasmas to study MAST 61 H-mode access and exit dependencies on core plasma ne on MAST which had a major radius, R = 62 0.85 m and minor radius, a = 0.65 m. These shots were run with high field side (HFS) deuterium 63 fuelling in the connected double null diverted (CDND) magnetic configuration, an example of 64 which is shown in figure 1, in which most MAST H-modes were accessed [10-13]. The L-mode 65 target density was controlled using active feedback, while neutral beam injection was constant in 66 each shot and varied from shot to shot by a minimum of 0.2 MW. It is important to note that a 67 minimum density for accessing H-mode on MAST was typically set by the occurrence of 68 low-density locked modes (in the region of $n_e = 1.5 \times 10^{19} \text{ m}^{-3}$, at plasma current of $I_p = 0.65 \text{ MA}$ and at 69 increasing n_e with I_p.) The results presented in this paper were above the locked mode density 70 minimum.

71

All shots included for the density scan study had Ip/Bt values of 0.70(+/-0.1) MA/0.45 T. The
 threshold power for H-mode access and exit is defined in this study as:

74 $P_{th} = P_{in} + P_{OH} - \frac{dW}{dt}$ (3)

at the L–H and H-L transitions, where P_{in} is the total additional (NBI) heating power, P_{OH} is the ohmic power and dW/dt is the rate of change of the stored plasma energy. In the absence of the availability of power ramps for these shots, the fluctuations in the plasma density and input power were taken to provide sufficient variation in plasma parameters to provide a measurement of the power threshold at the forward and back H-mode transitions and the associated pedestal parameters.

81

82 The general plasma parameters for a typical shot with a forward and back transition is shown in 83 Figure 2. The L–H transition is taken as the point at which a sharp drop in the divertor D_{α} signal 84 occurs along with a sharp rise in the core n_e and the stored plasma energy, W. Many of these L-H 85 transitions are preceded by a dithering or intermediate phase, in which some oscillations in the 86 divertor D_{α} signal occurs or possibly very high frequency, very small ELMs, as indicated in Figure 87 2. The transition into these intermediate phases have not been considered in the analysis carried 88 out for this study. The transitions out of the H-mode have similar signal signatures but in reverse, 89 many H-L transitions are also triggered by large ELMs or MHD events, from which the90 confinement does not subsequently recover.

91

The pedestal electron density, n_e^{ped} , and temperature, T_e^{ped} , in these shots has been measured with 92 93 a multi-radial point, multi-time edge Thompson Scattering diagnostic with a radial resolution of ΔR 94 = 10 mm [14-16]. Example L-mode and H-mode Thompson Scattering diagnostic measured ne 95 profiles are shown in Figure 3(a). A modified hyperbolic tangent function, which includes an 96 additional quadratic term, has been used to fit the pedestal data [17], as shown in Figure 3(a) and 97 (b). These fits to the pedestal data have been to identify the parameters and regions of interest in 98 the edge plasma. A weak density pedestal often develops prior to the L-H transition, both in the 99 absence and presence of the dithering or intermediate phases. These ne profiles have been used to 100 identify the pedestal top or 'knee' and the region of steepest density gradient, as close to and prior 101 to the L-H and H-L transitions. The corresponding value of Te at these radial locations (knee and 102 steepest ne gradient) have then been used in the subsequent analysis. For the back transitions, the 103 pedestal values have been taken in H-mode, as close to the transition as possible. The H-L 104 parameters are therefore more easily identifiable and have smaller fitting errors due to the often 105 much stronger pedestal shape in the H-mode, as shown in Figure 3(a). 106

107 The values of PLH and PHL are shown in Figure 4(a) as a function of averaged core $\langle \overline{n_e} \rangle$ along with 108 pedestal values in Figure 4(b) of n_e^{ped} , T_e^{ped} and P_e^{ped} , taken at the pedestal knee, over a similar 109 density range. The PLH shows an increase of a factor of two over the density range of 1-4 x 10¹⁹ m⁻³, 110 while the smaller PHL dataset indicates a weaker density dependence. Fits to these data provide the 111 following dependences on the core density:

112

113	$P_{LH} \propto \langle \overline{n_e} \rangle^{0.77(\pm 0.01)}$	(4)
114	$P_{\rm HL} \propto \langle \overline{n_e} \rangle^{0.54(\pm 0.07)}$.	(5)

115

116 Due to the limited amount of data available for the H-L back transition, a linear PLH dependence on 117 $\langle \overline{n_e} \rangle$ is used for the rest of the analysis presented in this paper. It is interesting to note that there is 118 no indication of a low-density turning point in either PLH or PHL over this density range, indicating 119 that it lies in the high-density, linear branch of H-mode access.

120

121 Despite the increase in the threshold power, for both L-H and H-L transitions, from 1.8 MW to 4 MW with the increase in core $\langle \overline{n_e} \rangle$ and $n_e^{ped},$ the pedestal temperature, $T_e^{ped},$ shows very little 122 variation with values at around 100 eV across the density range, as shown in figure 4(b). The 123 dependence of the pedestal pressure, P_e^{ped} , on the core $\langle \overline{n_e} \rangle$ is dominated by the linear dependence 124 125 n_e^{ped} . These results are interpreted as a further indication that a threshold in edge region T_e or a 126 related parameter such as the pedestal ion temperature, Ti, or the radial electric field, Er, is important for H-mode access [18-20]. The very weak dependence of T_e^{ped} on both core and pedestal 127 128 ne also confirms that the density range considered is in the high density, linear H-mode branch 129 [19,21,22]. In the absence of spatially resolved pedestal ion temperature and rotation velocity 130 measurements, it isn't possible to comment further on whether the pedestal electron or ion channel 131 is the dominant player in the L-H and H-L transition.

132 **3. X-point Height Dependence**

133Data have been analysed for CDND shots with different X-point heights at $B_T = 0.53$ T. Both the134upper and lower X-point heights were varied by the same amount over these scans, resulting135associated changes to the magnetic elongation. The lower strike points remained on the horizontal136floor of the open MAST divertor for the entire X-point height scan. Hence, the SOL connection137length also varied over the X-point height variation.

138

139 The values of P_{th} at the L-H and H-L transitions (P_{LH} and P_{HL}) have been normalised to $\langle \bar{n}_{e} \rangle$, and 140 are plotted as a function of lower X-point distance from the divertor floor for the values of $I_{\rm p}$ = 0.67 – 0.75 MA, $~I_{\rm p}$ = 0.75 – 0.77 MA and $~I_{\rm p}$ = 0.77 – 0.91 MA, in Figure 5. The power 141 142 threshold for H-mode access and exit increases by a factor of 3 as the lower X-point distance from 143 the divertor floor increases from 0.38-0.48 m for I_P = 0.67-0.75 MA and from 0.38-0.50 m for I_P = 144 0.75-0.91 MA. The linear dependence of Pth on X-point height disappears for heights above 0.50 m. 145 This is in agreement with earlier results presented from MAST for a smaller X-point height range 146 and limited dataset for single null and double null magnetic configurations [14]. Previous studies 147 on JET have also shown a similar trend of reduced Pth with lowered X-point height was only 148 observed for discharges with the X-point less than 6 cm from the septum top and the inner and 149 outer strike points and SOL on the horizontal targets [22]. The X-point height dependence of the 150 P_{th} is also shown to be sensitive to the plasma current, decreasing I_p from 0.77 MA to 0.65 MA lower 151 Pth by a factor of three and shifting the Pth roll-over height by 3 cm to a higher value.

152 The increase in P_{LH} and P_{HL} correlates well with decreasing divertor neutral density with increased 153 X-point height. Comparison of the outer divertor D_{α} intensity in Figure 6(a) and (b), indicates that 154 the divertor neutral density increases in the vicinity of the X-point with reduced X-point height.

- 155 The power dependence of the L-H and H-L transitions therefore appears to be sensitive to increased 156 vertical proximity between the region of recycling, horizontal target plates, and the X-point. A 157 similar correlation between the Pth, X-point height and divertor D_{α} intensity was observed on JET 158 [22] and correlated to changes in the sub-divertor neutral pressure by Maggi et al. [23]. The effect 159 of X-point neutral fuelling has previously been considered by Toda et al [24] to explain the 160 experimental observation of H-mode triggering on JFT-2M by gas puffing near the X-point. Toda et 161 al have shown that for a given set of parameters, there exists a critical value of neutral density near 162 the X-point above which the H-mode bifurcation occurs, due to increased ion losses. More recent 163 simulations by Battaglia et al. [20] have shown that the heat flux through the plasma edge varies 164 strongly with divertor recycling; the relationship between edge T_i and the heat flux is dominated by 165 ion-neutral physics, which in turn influence Pth. It is important to note that MAST had an open
- 166 divertor, which will have minimised the effect of variation in neutral recycling with X-point height.

167 4. Comparison with Theory

168 The MAST data from the density and X-point scans have been compared with the Finite Beta 169 Drift Wave model in which edge plasma turbulence is thought to be suppressed through 170 self-generated zonal flows [9,25]. Guzdar et al. developed a simple theory for the generation of 171 zonal flow. These investigations indicated the important dimensionless parameter that 172 determines the growth rate of the zonal flow is,

174
$$\hat{\beta} = \frac{1}{2}\beta (\frac{qR}{L_n})^2,$$
 (6)
175

5 of 11

176 where, β is the ratio of plasma pressure to the magnetic pressure, q is the safety factor, R is the 177 major radios (m) and L_n is the density gradient scale length (m). As a function of $\hat{\beta}$, the growth 178 rate for zonal flows has a minimum at $\hat{\beta}_c$, which is identified as the threshold point for the L-H 179 transition. For $\hat{\beta} > \hat{\beta}_c$ the zonal flow stabilization and suppression of fluctuations leads to a 180 steepening of the density gradient and would trigger the transition to H-mode. A simple 181 threshold condition was derived by Guzdar et al. for the L-H transitions in tokamaks,

182 183

$$heta_{c}=0.45rac{B_{t}^{2/3}Z_{eff}^{1/3}}{(RA_{i})^{rac{1}{6}}}$$
 ,

(7)

(8)

184

185 where Z_{eff} is the effective plasma ion charge and A_i is the ion mass relative to hydrogen. The 186 pedestal parameters T_e and L_n are the values at the location of the steepest part of the n_e pedestal 187 gradient in the edge region of the plasma, just within the last closed flux surface. For a given 188 plasma, the parameter, 189

190
$$\theta = T_e / (L_n)^{\frac{1}{2}}$$

191

192 which varies in time, and has to reach the critical value, θ_c , to trigger the transition to H-mode 193 according to the model.

194

195 A statistical comparison of experiment with theory has been carried out by comparing the observed 196 values of T_e at the location of steepest n_e gradient for data points in the L-mode and H-mode phases 197 of the shots included in the density and X-point height scans presented in the previous sections. 198 These values of Te are plotted as a function of calculated Tec in figure for 459 data points. The L-H 199 transition had been identified for the Pth analysis described earlier, allowing the L and H-mode 200 states of the plasma to be parameterised. The full set of discharges had scans in $I_p = 0.67-0.91$ MA, 201 B_t = 0.43 T, $\langle \bar{n}_e \rangle$ = 1-4.5 x10¹⁹ m⁻³ and h_{xpoint} = 0.35 - 0.52 m. As in earlier studies, a value of Z_{eff} = 2 202 has been used [26]. There is a very clear separation between the L-mode and H-mode datapoints 203 across the dotted, Te = Tec line, with the L-H and H-L data points lying either side and fairly close to 204 it.

205 This data demonstrates the finite beta drift wave model provides a reliable onset condition for the 206 transition into and out of H-mode. Even though Pth over the density scan increases by a factor two 207 and Pth increases by a factor three over the X-point height scan included in this dataset, the model 208 separates the L-mode and H-mode data points extremely well. It is interesting to note that the H-L 209 transition points also occur close to the value of Tec, with no evidence of hysteresis in the pedestal 210 parameters. The identifying critical edge parameter for the L-H transition is crucial to 211 understanding the physics of the trigger mechanism for bifurcation of the state. This analysis 212 suggests that Te at the location of the steepest ne gradient, along with the evolution of the density 213 gradient scale length (or a related parameter such as Ti or Er), determines the L-H and H-L 214 transitions. These results also indicate that the pedestal electron density gradient could be one of 215 the contributory parameters controlling H-mode access, supporting the class of theories based on

216 turbulence driven, electron drift waves, which predict the L-H transition to occur when Te reaches a

217 critical value which is proportional to $T_e/(L_n)^{1/2}$. These results also provide further experimental

218 evidence of the importance of zonal flow suppression of turbulence in the pedestal region.

220 **5.** Conclusions

In this paper the power threshold for the L-H and H-L transitions on MAST have been presented for core averaged density and X-point height scans for CDND magnetic configurations. The L-H transition was found to have the dependence, $P_{LH} \propto \langle \overline{n_e} \rangle^{0.77(\pm 0.01)}$. The H-L Pth was found to have a weaker dependence on the averaged core electron density, although the limited amount of data means this indication of power threshold hysteresis at the highest densities and will be an area of planned future experimental investigation on MAST-U.

227

228 The strong influence of increasing X-point distance from the divertor floor on raising P_{th} for both 229 the L-H and H-L transitions, with an increase on Pth by a factor of three over a range of 10-12 cm, is 230 clearly shown. The X-point height dependence of the Pth is also shown to be sensitive to the 231 plasma current; decreasing Ip from 0.77 MA to 0.65 MA lowers Pth by a factor of three and increases 232 the Pth roll-over height by 3 cm. The sensitivity of the L-H and H-L Pth over the relatively specific 233 X-point height range, is likely to be related to divertor recycling patterns, proximity of the X-point 234 to neutral source and ion-neutral interaction in the scrape-off layer and edge plasma which in turn 235 influences the scrape-off layer and edge plasma Er.

236

237 Finally, the data included in this study has been compared with the finite beta drift wave model, 238 which provides a reliable onset condition for the transition into and out of H-mode. Even though 239 Pth over the density scan increases by a factor two and Pth increases by a factor three over the 240 X-point height scan included in this dataset, the model separates the L-mode and H-mode data 241 points extremely well. The H-L transition points also occur close to the value of Tec, with no 242 evidence of hysteresis in the pedestal parameters. The identifying critical edge parameter for the 243 L-H transition is crucial to understanding the physics of the trigger mechanism for bifurcation of 244 the state. This data suggests that the T_e at the location of the steepest n_e gradient, along with the 245 evolution of the density gradient scale length (or a related parameter such as T_i or E_r), determines 246 the L-H anf H-L transitions. These results also provide further experimental evidence of the 247 importance of the role zonal flow in suppressing turbulence in the pedestal region in the transitions 248 into and out of the H-mode.

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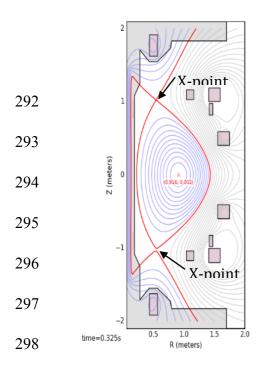
Author Contributions: Conceptualization, Y Andrew; methodology, Y Andrew; software, JP Bähner, R Battle,
T Jirman; formal analysis, Y Andrew, JP Bähner, R Battle, T Jirman; investigation, Y Andrew, JP Bähner, R
Battle, T Jirman.; writing—original draft preparation, Y Andrew; writing—review and editing, Y Andrew, JP
Bähner, R Battle, T Jirman; supervision; project administration, Y Andrew;

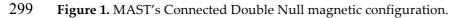
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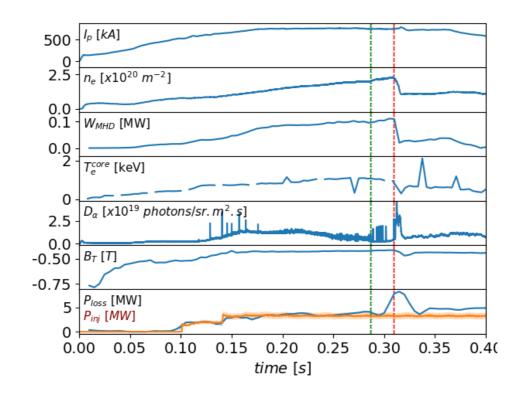


Figure 2. General plasma parameters for a shot from the density/X-point height scan at 0.7 MA/0.43
 T.

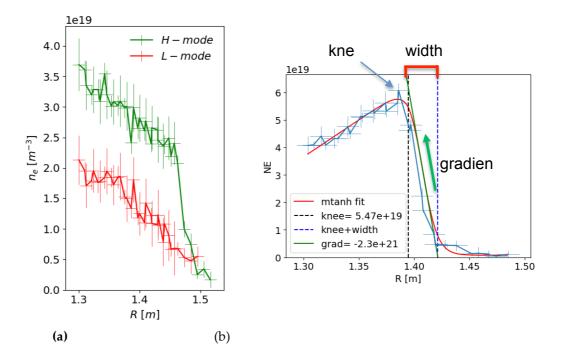
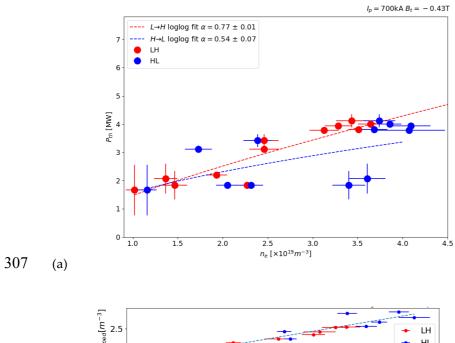
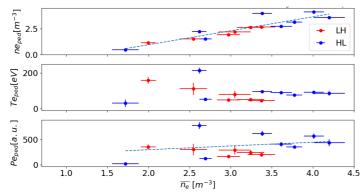


Figure 3. TS measurements on the pedestal ne for (a) typical L-mode and H-mode profiles and (b)
with the modified tanh fit to the with the relevant pedestal parameters labelled, knee, width and
steepest ne gradient.

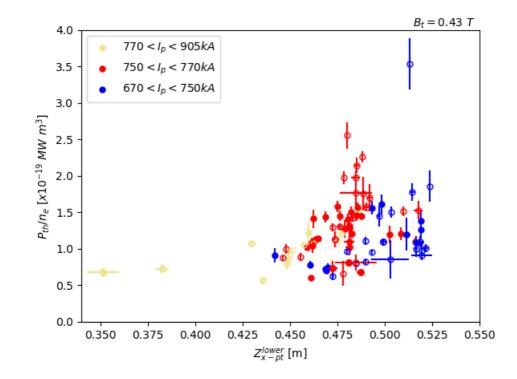




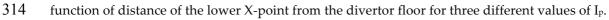


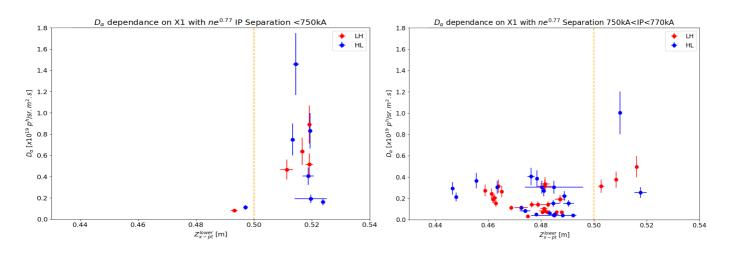
309 Figure 4. (a) Pth for the L-H and H-L transitions and (b) ne, Te and Pe at the ne pedestal top or knee,

310 plotted as a function of core $\langle \bar{n}_e \rangle$.



313 Figure 5. Normalised power threshold for the L-H (closed symbols) and H-L (open symbols), as a





312

Figure 6. Divertor D_a intensity at the L-H and H-L transitions plotted as a function of lower X-point height distance from the divertor floor for (a) $I_P = 0.67-0.75$ MA and (b) $I_P = 0.75-0.77$ MA.

322



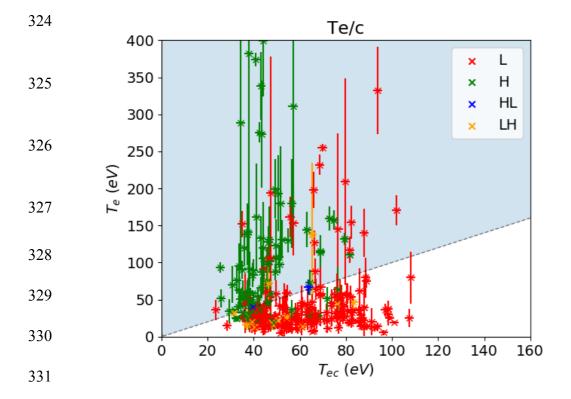


Figure 7 Te, taken at the point of steepest edge plasma ne gradient for L-mode, H-mode, L-H
transitions and H-L transitions for shots included in the ne and X-point height scans, plotted as a

334 function of T_{ec} from the Finite Beta Drift Wave model. The dotted line represents T_e =T_{ec}