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In this paper, we summarize our recent work on gyrokinetic applications in electron-positron and non-neutral plasma. The electrostatic stability of electron-positron plasmas was investigated in dipole and slab geometry, with and without ion admixture. The gyrokinetic dispersion relation was derived and, for the slab case, extended to non-neutral plasmas. Here, we further extend the gyrokinetic formulation to the relativistic regime.

1. Introduction

Natural electron-positron plasmas can be found in many places in the Universe. Normally, these are highly-energetic locations, such as pulsar magneto-spheres (Spitkovsky 2008) or Poynting-flux dominated astrophysical jets (Lyutikov & Blackman 2001), since photons with very high energies or very strong electromagnetic fields are needed for the pair creation. Compact objects, such as pulsars, can provide the energy sufficient for the pair creation, due to their large masses, extremely fast rotations, and very strong magnetic fields. Charged particles drifting in such strong inhomogeneous magnetic fields can provide high-energy gamma radiation via bremsstrahlung. Astro-physical pair plasmas are normally not "pure" and contain other species (Pétri 2016), e.g. protons or iron ions. These plasmas are normally relativistic and may coexist with strong radiation (Cruz *et al.* 2021). Charge neutrality of the plasmas surrounding magnetized rotating compact objects (e. g. pulsars) is often violated Pétri, J. (2009).

Recently, there has been great interest in the production of pair plasmas in a laboratory. Relativistic pair plasmas with properties similar to the astrophysical ones can be obtained in laser experiments where pairs are produced via an interaction of laser beams with a gold target (Chen & Fiuza 2023). In contrast, magnetically-confined pair plasmas (Stoneking *et al.* 2020) will employ external sources of positrons and therefore have low temperatures (few eV). These plasmas are non-relativistic and standard magnetic fusion plasma theory and numerical tools can readily be applied to them. They can be confined in magnetic dipole traps or stellarators and are not necessarily quasineutral (since plasmas with an arbitrary degree of charge neutrality can be confined in an external magnetic field). A well-established application of laboratory non-neutral plasmas with a large numbers of positrons Surko & Greaves (2004) is the field of antimatter research which includes positronium Cassidy & Mills (2007) and antihydrogen Fajans & Surko (2020) production. An important technique in the antihydrogen creation is the cooling of antiprotons via interactions with an electron gas Rolston & Gabrielse (1989). Stability of such complex

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many-component plasmas may also be addressed borrowing well-established tools from the magnetic-confinement research.

Gyrokinetic theory (Brizard & Hahm 2007; Catto 2019) is a reduced description of low-frequency dynamics in magnetized plasmas. It is a standard model used for turbulence (Garbet *et al.* 2010) and energetic-particle driven instabilities (Chen & Zonca 2016) in magnetic fusion research. Starting around 2009, gyrokinetic theory has also been applied to astrophysical systems (Schekochihin *et al.* 2009), such as the solar wind and accretion disks. A considerable amount of analytical work and dozens of numerical codes exist that employ gyrokinetic theory in magnetic-fusion and astrophysical-plasma contexts. It seems promising to apply gyrokinetic theory and numerical tools also for antimatter (electron-positron) and non-neutral (e. g. electron-antiproton) plasma problems. An extension of gyrokinetic theory to the relativistic regimes would be desirable for the astrophysical applications involving compact objects and for the laboratory laser plasmas.

It has been shown (Stenson *et al.* 2017) that the wave dynamics drastically simplifies in pair plasmas in the cold-plasma limit. A natural question arises if this strong simplification still holds in the gyrokinetic regime. Since the confinement theorem (Dubin & O’Neil 1999) does not apply to quasineutral plasmas, a cylindrical configuration, such as the Penning trap, is not an option for confinement of plasma containing both positively and negatively charged particles. Toroidal configurations are required such as dipoles (Saitoh *et al.* 2014) or stellarators (Pedersen *et al.* 2012). Both these options are being currently pursued in ongoing laboratory projects (Saitoh *et al.* 2014; Stenson 2019). Toroidal geometry violates pair-plasma symmetry since the curvature drift direction depends on sign of the particle charge. This may lead to collective instabilities, as it has recently been shown for the gyrokinetic regime (Mishchenko *et al.* 2018a). Naturally, these collective micro-instabilities can lead to particle and energy turbulent transport which can be harmful or beneficial depending on the problem at hand. The role of the magnetic field configuration in pair-plasma stability and resulting confinement is an issue of practical relevance for the experiments under construction Saitoh *et al.* (2014); Stenson (2019). Our recent work on gyrokinetic applications in electron-positron and non-neutral plasmas includes the following:

- (i) The investigation of the electrostatic stability of electron-positron plasmas (Mishchenko *et al.* 2018a) in dipole geometry. Here, the kinetic dispersion relation for sub-bounce-frequency instabilities has been derived and solved. For the zero-Debye-length case, the stability diagram has been found to exhibit singular behavior. However, when the Debye length is non-zero, a fluid mode appears resolving the observed singularity. It has been demonstrated that both the temperature and density gradients can drive instability.
- (ii) The study of the gyrokinetic stability of electron-positron plasmas contaminated by ion (proton) admixture (Mishchenko *et al.* 2018b) in slab geometry. The appropriate dispersion relation has been derived and solved. The ion-temperature-gradient driven instability, the electron-temperature-gradient driven instability, the universal mode, and the shear Alfvén wave were considered.
- (iii) The investigation of the confining properties of dipole and stellarator geometries, ranging from pure electron plasmas through to quasineutral. We have shown (Kennedy & Mishchenko 2019) that non-neutral plasmas can be unstable with respect to both density-gradient- and temperature-gradient-driven instabilities.
- (iv) The numerical study of gyrokinetic stability of plasmas in different magnetic geometries (Kennedy *et al.* 2020). The stability of plasmas has been examined varying the mass ratio between the positive and negative charge carriers, from conventional

hydrogen plasmas through to electron–positron plasmas. Stability was studied for prescribed temperature and density gradients in an axisymmetric tokamak and a non-axisymmetric quasi-isodynamic stellarator configurations.

- (v) The linear gyrokinetic simulations of magnetically confined electron–positron plasmas have been performed (Kennedy *et al.* 2018) in the dipole geometry and parameter regimes likely to be relevant for upcoming laboratory experiments (Stoneking *et al.* 2020). Our results have demonstrated existence of unstable entropy modes and interchange modes in pair plasmas.

The paper is organized as follows. In Sec. 2, we review the non-relativistic gyrokinetic theory for pair and non-neutral plasmas. In Sec. 3, the relativistic extension is derived. In Sec. 4, we draw our conclusions.

2. Non-relativistic case

Following Helander (2014) and Helander & Connor (2016), it is convenient to write the gyrokinetic distribution function in the form:

$$f_a = f_{a0} \left(1 - \frac{e_a \phi}{T_a} \right) + g_a = f_{a0} + f_{a1}, \quad f_{a1} = -\frac{e_a \phi}{T_a} f_{a0} + g_a. \quad (2.1)$$

Here, f_{a0} is a Maxwellian, a is the species index with $a = e$ corresponding to electrons, $a = p$ to positrons, and $a = i$ to the ions, e_a is the electric charge, f_{a1} is the perturbed part of the distribution function, and g_a is the non-adiabatic part of f_{a1} . The linearised gyrokinetic equation in this notation is

$$iv_{\parallel} \nabla_{\parallel} g_a + (\omega - \omega_{da}) g_a = \frac{e_a}{T_a} J_0 \left(\frac{k_{\perp} v_{\perp}}{\omega_{ca}} \right) (\omega - \omega_{*a}^T) (\phi - v_{\parallel} A_{\parallel}) f_{a0} \quad (2.2)$$

with J_0 the Bessel function, ω the complex frequency of the mode, ω_{ca} the cyclotron frequency, k_{\perp} the component of the wave number perpendicular to the ambient magnetic field, v_{\parallel} and v_{\perp} the parallel and perpendicular velocities, ϕ the perturbed electrostatic potential and A_{\parallel} the perturbed parallel magnetic potential in the Coulomb gauge. We consider an unshered slab geometry with coordinates (x, y, z) , a uniform magnetic field $\mathbf{B} = B\mathbf{e}_z$ pointing in the z -direction and plasma profiles which are non-uniform in the x -direction. In slab geometry, the drift frequency $\omega_{da} = 0$. Other notations used are

$$\omega_{*a}^T = \omega_{*a} \left[1 + \eta_a \left(\frac{v^2}{v_{\text{tha}}^2} - \frac{3}{2} \right) \right], \quad v = \sqrt{v_{\parallel}^2 + v_{\perp}^2}, \quad k_{\perp} = \sqrt{k_x^2 + k_y^2} \quad (2.3)$$

$$\omega_{*a} = \frac{k_y T_a}{e_a B} \frac{d \ln n_a}{dx}, \quad \eta_a = \frac{d \ln T_a}{d \ln n_a}, \quad v_{\text{tha}} = \sqrt{\frac{2T_a}{m_a}} \quad (2.4)$$

Here, m_a is the particle mass, n_a is the ambient particle density, and the sign convention is such that $\omega_{*i} \leq 0$, $\omega_{*p} \leq 0$, and $\omega_{*e} \geq 0$ for $d \ln n_a / dx \leq 0$. For simplicity, we will assume $k_x = 0$ and $k_{\perp} = k_y$ throughout the paper. Taking the Fourier transform along the parallel coordinate, we obtain:

$$(\omega - k_{\parallel} v_{\parallel}) g_a = \frac{e_a}{T_a} J_0 \left(\frac{k_{\perp} v_{\perp}}{\omega_{ca}} \right) (\omega - \omega_{*a}^T) (\phi - v_{\parallel} A_{\parallel}) f_{a0} \quad (2.5)$$

This equation is trivially solved:

$$g_a = \frac{\omega - \omega_{*a}^T}{\omega - k_{\parallel} v_{\parallel}} \frac{e_a f_{a0}}{T_a} J_0 (\phi - v_{\parallel} A_{\parallel}). \quad (2.6)$$

The gyrokinetic quasineutrality condition and the parallel Ampere's law are

$$\left(\sum_a \frac{n_a e_a^2}{T_a} + \epsilon_0 k_\perp^2 \right) \phi = \sum_a e_a \int g_a J_0 d^3 v, \quad A_\parallel = \frac{\mu_0}{k_\perp^2} \sum_a e_a \int v_\parallel g_a J_0 d^3 v. \quad (2.7)$$

Here, ϵ_0 is the electric permittivity and μ_0 is the magnetic permeability of vacuum. For the electromagnetic dispersion relation, it is convenient to define:

$$W_{na} = - \frac{1}{n_a v_{\text{tha}}^n} \int \frac{\omega - \omega_{*a}^T}{\omega - k_\parallel v_\parallel} J_0^2 f_{a0} v_\parallel^n d^3 v \quad (2.8)$$

Taking velocity-space integrals, one finds:

$$W_{na} = \zeta_a \left\{ \left(1 - \frac{\omega_{*a}}{\omega} \right) Z_{na} \Gamma_{0a} + \frac{\omega_{*a} \eta_a}{\omega} \left[\frac{3}{2} Z_{na} \Gamma_{0a} - Z_{na} \Gamma_{*a} - Z_{n+2,a} \Gamma_{0a} \right] \right\} \quad (2.9)$$

Here, the following notation is employed:

$$\frac{1}{\lambda_{Da}^2} = \frac{e_a^2 n_a}{\epsilon_0 T_a}, \quad \frac{1}{\lambda_D^2} = \sum_a \frac{1}{\lambda_{Da}^2}, \quad b_a = k_\perp^2 \rho_a^2, \quad \rho_a = \frac{\sqrt{m_a T_a}}{|e_a| B} \quad (2.10)$$

$$\Gamma_{*a} = \Gamma_{0a} - b_a \left[\Gamma_{0a} - \Gamma_{1a} \right], \quad \Gamma_{0a} = I_0(b_a) e^{-b_a}, \quad \Gamma_{1a} = I_1(b_a) e^{-b_a} \quad (2.11)$$

$$Z_{na} = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} \frac{x^n e^{-x^2} dx}{x - \zeta_a}, \quad \zeta_a = \frac{\omega}{k_\parallel v_{\text{tha}}} \quad (2.12)$$

with I_0 and I_1 denoting the modified Bessel functions of the first kind. The resulting electromagnetic dispersion relation in slab geometry reads:

$$\begin{aligned} & \left(1 + k_\perp^2 \lambda_D^2 + \sum_a \frac{\lambda_D^2}{\lambda_{Da}^2} W_{0a} \right) \left(1 - 2 \sum_a \frac{\beta_a}{k_\perp^2 \rho_a^2} W_{2a} \right) \\ & + 2 \sum_a \frac{\lambda_D^2}{\lambda_{Da}^2} W_{1a} v_{\text{tha}} \sum_a \frac{\beta_a}{k_\perp^2 \rho_a^2} \frac{W_{1a}}{v_{\text{tha}}} = 0 \end{aligned} \quad (2.13)$$

Here, $\beta_a = \mu_0 n_a T_a / B^2$. The electrostatic limit corresponds, as usual, to $\beta_a = 0$.

This dispersion relation has been solved in Mishchenko *et al.* (2018b). It was found that pair plasmas can support the gyrokinetic ITG, ETG and universal instabilities even in slab geometry if the proton fraction exceeds some threshold. In practice, however, this threshold is usually quite large, hopefully large enough to keep the proton content below this value in pair plasma experiments (Pedersen *et al.* 2012). These results extend the finding of Helander (2014) that pair plasmas are stable to gyrokinetic modes in the absence of magnetic curvature to the cases with small to moderate proton contamination. We find, however, that pure pair plasmas can have temperature-gradient-driven instabilities, if the electron and the positron temperature profiles differ. In reality, however, such profiles are unlikely in steady state, since the characteristic time of energy exchange between the species is comparable to the Maxwellisation time. Generalization of the local dispersion relation to the case of non-neutral plasma is straightforward (Kennedy & Mishchenko 2019) providing that effects of strong electric field, normally existing in non-neutral plasmas, can be cast in the form of a simple Doppler shift for the frequency. The dispersion relation for this shifted frequency coincides with Eq. (2.13).

In dipole geometry, the linearised gyrokinetic equation becomes

$$i v_\parallel \nabla_\parallel g_a + (\omega - \omega_{da}) g_a = \frac{e_a}{T_a} J_0 \left(\frac{k_\perp v_\perp}{\omega_{ca}} \right) (\omega - \omega_{*a}^T) \phi f_{a0} \quad (2.14)$$

where now

$$\omega_{*a}^T = \omega_{*a} \left[1 + \eta_a \left(\frac{v^2}{v_{\text{tha}}^2} - \frac{3}{2} \right) \right], \quad v = \sqrt{v_{\parallel}^2 + v_{\perp}^2}, \quad \mu = \frac{m_a v_{\perp}^2}{2B} \quad (2.15)$$

$$\omega_{*a} = \frac{k_{\varphi} T_a}{e_a} \frac{d \ln n_a}{d\psi}, \quad \eta_a = \frac{d \ln T_a}{d \ln n_a}, \quad v_{\text{tha}} = \sqrt{\frac{2T_a}{m_a}}, \quad \omega_{ca} = \frac{e_a B}{m_a} \quad (2.16)$$

$$\omega_{da} = \mathbf{k}_{\perp} \cdot \mathbf{v}_{da}, \quad \mathbf{v}_{da} = \left(m v_{\parallel}^2 + \mu B \right) \frac{\mathbf{b} \times \nabla B}{e_a B^2}, \quad \mathbf{k}_{\perp} = k_{\psi} \nabla \psi + k_{\varphi} \nabla \varphi. \quad (2.17)$$

Here, ψ is the poloidal flux and φ is the polar (toroidal) angle. We will assume the drift-kinetic limit, *i.e.* $k_{\perp} v_{\text{tha}} / \omega_{ca} \ll 1$ so $J_0 \approx 1$.

Expanding the distribution function $g_a = g_a^{(0)} + g_a^{(1)} + \dots$ in the small parameter $\varepsilon_b = \omega / \omega_b$ with ω_b the bounce frequency, we obtain in the lowest order:

$$v_{\parallel} \nabla_{\parallel} g_a^{(0)} = 0 \quad (2.18)$$

implying that $g_a^{(0)}$ coincides with its bounce average, $g_a^{(0)} = \bar{g}_a^{(0)}$, where the bounce-average operation is defined as

$$\overline{(\dots)} = \oint (\dots) \frac{dl}{v_{\parallel}} / \oint \frac{dl}{v_{\parallel}}. \quad (2.19)$$

Here, l is the arc length measured along a magnetic field line and the integration is performed between bounce points for trapped particles, and over the entire closed field line for passing particles. Applying the bounce average at the next order in ε_b , we obtain

$$(\omega - \bar{\omega}_{da}) g_a^{(0)} = (\omega - \omega_{*a}^T) \frac{e_a \bar{\phi}}{T_a} f_{a0}. \quad (2.20)$$

We assume the temperature and the density profiles of the electrons and the positrons to be identical, and invoke the Poisson equation for the charge density perturbations, given by the distribution functions f_{a1} :

$$\left(\sum_{a=e,p} \frac{n_a e_a^2}{T_a} + \epsilon_0 k_{\perp}^2 \right) \phi = \sum_{a=e,p} e_a \int g_a^{(0)} d^3 v. \quad (2.21)$$

We find that the perturbed electrostatic potential satisfies the equation:

$$\left(1 + k_{\perp}^2 \lambda_D^2 \right) \phi = \frac{1}{n_0} \int \frac{\omega^2 - \bar{\omega}_d \omega_*^T}{\omega^2 - \bar{\omega}_d^2} \bar{\phi} f_0 d^3 v. \quad (2.22)$$

Here and in the following, we use the notation $\omega_*^T \equiv \omega_{*e}^T$, $\omega_* \equiv \omega_{*e}$, $\bar{\omega}_d \equiv \bar{\omega}_{de}$, $n_0 = n_e$, $T_0 \equiv T_e$, and the Debye length is defined as usual, $\lambda_D = \sqrt{\epsilon_0 T_0 / (2n_0 e^2)}$.

We have solved this equation in Mishchenko *et al.* (2018a) where the drift-kinetic stability of a pair plasma confined by a dipole magnetic field has been studied. It has been found that pair plasmas can be unstable in dipole geometry even for perfectly coinciding electron and positron profiles, in the absence of any contamination, and for quasineutral plasmas. The reason for the instability is related to the fact that the curvature drift depends on the sign of the particle charge which is opposite in the case of the electrons and positrons. A detailed study of instabilities in dipole pair plasmas has been carried out in Mishchenko *et al.* (2018a). In contrast, one needs some violation of the symmetry between the species for instability in a slab. This symmetry violation can be introduced either through deviations in the plasma profiles of the electrons and positrons or via a third contaminating species, as it has been shown in Mishchenko *et al.* (2018b) and Kennedy & Mishchenko (2019).

3. Relativistic plasmas

The relativistic gyrokinetic equation has been derived by Brizard & Chan (1999).

$$\frac{\partial f_a}{\partial t} + \left(\frac{\mathbf{B}^*}{B_{\parallel}^*} \frac{\partial H_{gy}}{\partial p_{\parallel}} + c \frac{\mathbf{b} \times \nabla H_{gy}}{e_a B_{\parallel}^*} \right) \cdot \nabla f_a - \frac{\mathbf{B}^* \cdot \nabla H_{gy}}{B_{\parallel}^*} \frac{\partial f_a}{\partial p_{\parallel}} = 0. \quad (3.1)$$

Note that the CGS unit system is employed throughout this Section since it is more convenient than the SI (MKS) in relativistic calculations. To the first order in the perturbation amplitude, the gyrokinetic relativistic Hamiltonian is given by the expression:

$$H_{gy} = \gamma m_a c^2 + e_a \left\langle \phi - \frac{p_{\parallel}}{\gamma m_a c} A_{\parallel} - \frac{1}{\gamma c} \sqrt{\frac{2\mu B}{m_a}} \perp \cdot \mathbf{A} \right\rangle \quad (3.2)$$

with \perp the unit vector directed along the gyro-motion of the particle and the gyro-average operation $\langle \phi \rangle = \oint \phi(\mathbf{R} + \boldsymbol{\rho}) d\theta / (2\pi)$ defined as usual for the gyro-radius

$$\boldsymbol{\rho} = \frac{1}{\omega_{Ba}} \sqrt{\frac{2\mu B}{m_a}} \boldsymbol{\zeta}, \quad \omega_{Ba} = \frac{e_a B}{m_a c} \quad (3.3)$$

where $\boldsymbol{\zeta} = (e_1 \cos \theta - e_2 \sin \theta)$ is the unit vector directed along the gyro-radius rotating in the fixed basis (e_1, e_2, \mathbf{b}) and ω_{Ba} is the rest-mass gyro-frequency. Following Brizard & Chan (1999), the gyrokinetic relativistic Lorentz factor is

$$\gamma = \sqrt{1 + \frac{2\mu B}{m_a c^2} + \left(\frac{p_{\parallel}}{m_a c} \right)^2}, \quad (3.4)$$

and

$$\mathbf{B}^* = \mathbf{B} + c \frac{p_{\parallel}}{e_a} \nabla \times \mathbf{b}, \quad B_{\parallel}^* = \mathbf{b} \cdot \mathbf{B}^*. \quad (3.5)$$

We can write:

$$\frac{\partial H_{gy}}{\partial p_{\parallel}} = \left(\frac{p_{\parallel}}{m_a \gamma} - \frac{e_a}{m_a} \frac{\langle A_{\parallel} \rangle}{\gamma c} \right) + \frac{e_a p_{\parallel}}{m_a^3 c^3 \gamma^3} \left[p_{\parallel} \langle A_{\parallel} \rangle + \sqrt{2m_a \mu B} \langle \perp \cdot \mathbf{A} \rangle \right] \quad (3.6)$$

$$\nabla H_{gy} = \left[mc^2 + \frac{e_a}{\gamma^2 m_a c} \left(p_{\parallel} \langle A_{\parallel} \rangle + \sqrt{2m_a \mu B} \langle \perp \cdot \mathbf{A} \rangle \right) \right] \nabla \gamma \quad (3.7)$$

$$+ e_a \left(\nabla \langle \phi \rangle - \frac{p_{\parallel}}{\gamma mc} \nabla \langle A_{\parallel} \rangle - \frac{\sqrt{2m_a \mu B}}{\gamma mc} \nabla \langle \perp \cdot \mathbf{A} \rangle \right), \quad \nabla \gamma = \frac{\mu \nabla B}{mc^2 \gamma} \quad (3.8)$$

For the electrostatic waves $\mathbf{A} = 0$. In this case, the linearized relativistic gyrokinetic equation in slab geometry takes the form:

$$\frac{\partial f_{1a}}{\partial t} + \frac{p_{\parallel}}{m_a \gamma} \mathbf{b} \cdot \nabla f_{1a} = e_a \mathbf{b} \cdot \nabla \langle \phi \rangle \frac{\partial F_{0a}}{\partial p_{\parallel}} - c \frac{\mathbf{b} \times \nabla \langle \phi \rangle}{B} \cdot \nabla F_{0a} \quad (3.9)$$

For the background, we can choose the Maxwell-Jüttner distribution function describing the relativistic ideal gas, see (Jüttner 1911; Zenitani 2015; Cercignani & Kremer 2012):

$$F_{0a} = N_a \exp \left[-\frac{c}{T_a} \sqrt{p^2 + m_a^2 c^2} \right], \quad N_a = \frac{n_{0a}}{4\pi m_a^3 c^3} \left[\frac{T_a}{m_a c^2} K_2 \left(\frac{m_a c^2}{T_a} \right) \right]^{-1} \quad (3.10)$$

Here, $K_2(z)$ is the modified Bessel function of the second kind which has the asymptotic

$$K_2(z) \approx \sqrt{\frac{\pi}{2z}} e^{-z}, \quad z \gg 1 \quad (3.11)$$

Using this asymptotic, we can recover the usual Maxwellian distribution function for $T_a \ll mc^2$ and $p \ll mc$. The Maxwell-Jüttner distribution function neglects the pair formation and quantum effects but it can be used as an approximation for already created relativistic electron-positron plasmas. Taking the derivatives, we obtain:

$$\frac{\partial F_{0a}}{\partial p_{\parallel}} = -\frac{p_{\parallel}}{m_a \gamma} \frac{F_{0a}}{T_a}, \quad \frac{\partial F_{0a}}{\partial \mu} = -\frac{B}{\gamma} \frac{F_{0a}}{T_a}, \quad \nabla F_{0a} = \left[\frac{\nabla N_a}{N_a} + \frac{\gamma m_a c^2}{T_a} \frac{\nabla T_a}{T_a} \right] F_{0a} \quad (3.12)$$

Using these expressions, the relativistic gyrokinetic equation can be written in the form:

$$\frac{\partial g_a}{\partial t} + \frac{p_{\parallel}}{m_a \gamma} \nabla_{\parallel} g_a = \frac{e_a F_{0a}}{T_a} \left(\frac{\partial \langle \phi \rangle}{\partial t} - \frac{c T_a}{e_a B} \frac{\nabla F_{0a} \times \mathbf{b}}{F_{0a}} \cdot \nabla \langle \phi \rangle \right) \quad (3.13)$$

with the usual definition of the non-adiabatic part of the distribution function g_a

$$f_a = F_{0a} \left(1 - \frac{e_a \langle \phi \rangle}{T_a} \right) + g_a = F_{0a} + f_{1a}, \quad f_{1a} = -\frac{e_a \langle \phi \rangle}{T_a} F_{0a} + g_a. \quad (3.14)$$

For the plane waves, $g_a = \hat{g}_a \exp(i\omega t - i\mathbf{k} \cdot \mathbf{x})$ and $\phi = \hat{\phi} \exp(i\omega t - i\mathbf{k} \cdot \mathbf{x})$, we can write:

$$\left(\omega - \frac{k_{\parallel} p_{\parallel}}{m_a \gamma} \right) \hat{g}_a = (\omega - \omega_{*a}^T) \frac{e_a \hat{\phi}}{T_a} F_{0a} J_0(k_{\perp} \rho), \quad \omega_{*a}^T = \frac{c T_a}{e_a B} (\mathbf{k} \times \mathbf{b}) \cdot \frac{\nabla F_{0a}}{F_{0a}} \quad (3.15)$$

with $J_0(k_{\perp} \rho)$ being the Bessel function as usual.

Following Brizard & Chan (1999), we write the gyrokinetic Maxwell equations in the low-frequency limit skipping the displacement current:

$$-\frac{1}{4\pi} \nabla_{\perp}^2 A^{\alpha} = \sum_a e_a \int d^6 Z \delta_{gy}^3 \frac{p^{\alpha}}{m_a \gamma c} \left[f_{1a} + \{S_1, F_{0a}\} + \frac{e_a \mathbf{A}}{c} \{ \mathbf{R} + \boldsymbol{\rho}, F_{0a} \} \right] \quad (3.16)$$

$$S_1 = \frac{e_a \gamma}{\omega B_a} \int^{\theta} \tilde{\psi} d\theta', \quad \psi = \phi - \frac{\mathbf{p}}{\gamma m c} \cdot \mathbf{A}, \quad \{S_1, F_{0a}\} \approx \frac{e_a}{m_a c} \frac{\partial S_1}{\partial \theta} \frac{\partial F_{0a}}{\partial \mu} \quad (3.17)$$

with $A^{\alpha} = (\phi, \mathbf{A})$ the perturbed four-potential, $p^{\alpha} = (\gamma m_a c, \mathbf{p})$ the four-momentum, $d^6 Z = m_a B_{\parallel}^* d\mathbf{R} dp_{\parallel} d\mu d\theta$, and $\delta_{gy}^3 = \delta(\mathbf{R} + \boldsymbol{\rho} - \mathbf{x})$.

For electrostatic perturbations, only the quasineutrality equation has to be solved:

$$-\frac{1}{4\pi} \nabla_{\perp}^2 \phi = \sum_a e_a \bar{n}_{1a} - \sum_a e_a \int d^6 Z \delta_{gy}^3 \frac{e_a \tilde{\phi}}{T_a} F_{0a}, \quad \tilde{\phi} = \phi - \langle \phi \rangle \quad (3.18)$$

with the perturbed density of the gyro-centers $\bar{n}_{1a} = \int d^6 Z \delta_{gy}^3 f_{1a}$. In terms of the non-adiabatic part of the distribution function, the quasineutrality condition reads:

$$-\frac{1}{4\pi} \nabla_{\perp}^2 \phi + \sum_a e_a \int d^6 Z \delta_{gy}^3 \frac{e_a \phi}{T_a} F_{0a} = \sum_a e_a \int d^6 Z \delta_{gy}^3 g_a \quad (3.19)$$

For the plane waves, we can write as usual

$$\frac{1}{4\pi} k_{\perp}^2 \phi + \sum_a \frac{e_a^2 \hat{\phi}}{T_a} n_{0a} = \sum_a e_a \int J_0 g_a d^3 p, \quad d^3 p = 2\pi m_a B_{\parallel}^* dp_{\parallel} d\mu \quad (3.20)$$

This results in the relativistic dispersion relation for gyrokinetic electrostatic waves:

$$1 + k_{\perp}^2 \lambda_D^2 + \sum_a \frac{\lambda_D^2}{\lambda_{Da}^2} W_{0a} = 0 \quad (3.21)$$

with the notation

$$W_{0a} = -\frac{1}{n_{0a}} \int \frac{\omega - \omega_{*a}^T}{\omega - k_{\parallel} p_{\parallel} / (m_a \gamma)} J_0^2 F_{0a} d^3 p, \quad \lambda_{Da}^2 = \frac{T_a}{4\pi e_a^2 n_{0a}}, \quad \frac{1}{\lambda_D^2} = \sum_a \frac{1}{\lambda_{Da}^2} \quad (3.22)$$

One can easily see that the effect of spatial non-uniformity is proportional to ω_{*a}^T . It cancels in a pure relativistic pair plasma, similar to the non-relativistic case, providing the electron and positron profiles are the same and magnetic drifts are absent. One can see that the resonance structure $\omega = k_{\parallel} p_{\parallel} / (m_a \gamma)$ appearing in the function W_{0a} is much more complex than in the non-relativistic case (recall that γ depends both on p_{\parallel} and the magnetic moment μ). Such integrals involving the Maxwell-Jüttner distribution cannot simply be expressed through the plasma dispersion function, as they were in the non-relativistic case. Note that the relativistic generalization of the plasma dispersion functions has extensively been studied in the weakly-relativistic limit Robinson (1987); Castejón & Pavlov (2006) for Electron Cyclotron Heating applications.

In the case of inhomogeneous magnetic fields, the relativistic gyrokinetic equation, resulting from Eq. (3.1) and describing electrostatic waves, takes the form:

$$\begin{aligned} \frac{\partial f_{1a}}{\partial t} + \frac{p_{\parallel}}{m_a \gamma} \mathbf{b} \cdot \nabla f_{1a} + \frac{c}{qB\gamma} \left[\frac{p_{\parallel}^2}{m_a} (\nabla \times \mathbf{b})_{\perp} + \mu \mathbf{b} \times \nabla B \right] \cdot \nabla f_{1a} - \frac{\mu}{\gamma} \mathbf{b}^* \cdot \nabla B \frac{\partial f_{1a}}{\partial p_{\parallel}} \\ = e_a \mathbf{b}^* \cdot \nabla \langle \phi \rangle \frac{\partial F_{0a}}{\partial p_{\parallel}} - c \frac{\mathbf{b} \times \nabla \langle \phi \rangle}{B} \cdot \nabla F_{0a}. \end{aligned} \quad (3.23)$$

Now let us address relativistic gyrokinetic theory in the electromagnetic regime. For simplicity, we consider slab geometry and neglect the compressional component of the magnetic field perturbation assuming $\mathbf{A} \approx A_{\parallel} \mathbf{b}$. In this case, we can write:

$$\frac{\partial H_{gy}}{\partial p_{\parallel}} = \frac{p_{\parallel}}{m_a \gamma} - \frac{e_a A_{\parallel}}{m_a c \gamma^3} \left(1 + \frac{2\mu B}{m_a c^2} \right), \quad \nabla H_{gy} = e_a \langle \psi \rangle, \quad \psi = \phi - \frac{p_{\parallel}}{m_a c \gamma} A_{\parallel}. \quad (3.24)$$

In a slab, the electromagnetic relativistic gyrokinetic equation takes the form:

$$\frac{\partial f_{1a}}{\partial t} + \frac{p_{\parallel}}{m_a \gamma} \mathbf{b} \cdot \nabla f_{1a} = e_a \left(\frac{\partial f_0}{\partial p_{\parallel}} + \frac{c \mathbf{b} \times \nabla f_0}{e_a B} \right) \cdot \nabla \left\langle \phi - \frac{p_{\parallel}}{m_a c \gamma} A_{\parallel} \right\rangle. \quad (3.25)$$

The relativistic parallel Ampere's law reads:

$$-\frac{1}{4\pi} \nabla_{\perp}^2 A_{\parallel} = \sum_a e_a \int d^6 Z \delta_{gy}^3 \frac{p_{\parallel}}{m_a c \gamma} \left[f_{1a} + \{S_1, F_{0a}\} + \frac{e_a A_{\parallel}}{c} \mathbf{b} \cdot \{\mathbf{R} + \boldsymbol{\rho}, F_{0a}\} \right]. \quad (3.26)$$

Evaluating the Poisson brackets (Brizard & Chan 1999) in a usual way, we obtain:

$$f_{1a} + \{S_1, F_{0a}\} + \frac{e_a A_{\parallel}}{c} \mathbf{b} \cdot \{\mathbf{R} + \boldsymbol{\rho}, F_{0a}\} = f_{1a} + \frac{e_a \gamma}{B} \tilde{\psi} \frac{\partial F_{0a}}{\partial \mu} + \frac{e_a A_{\parallel}}{c} \frac{\partial F_{0a}}{\partial p_{\parallel}}. \quad (3.27)$$

Substituting the partial derivatives of the Maxwell-Jüttner distribution function, computed in Eq. (3.12), results in

$$\frac{e_a \gamma}{B} \tilde{\psi} \frac{\partial F_{0a}}{\partial \mu} + \frac{e_a A_{\parallel}}{c} \frac{\partial F_{0a}}{\partial p_{\parallel}} = -\frac{e_a \tilde{\phi}}{T_a} F_{0a} - \frac{p_{\parallel}}{m_a c \gamma} \frac{e_a \langle A_{\parallel} \rangle}{T_a} F_{0a}. \quad (3.28)$$

Invoking the expression for $\partial F_{0a} / \partial p_{\parallel}$ for the last term in Eq. (3.28), we obtain

$$f_{1a} + \{S_1, F_{0a}\} + \frac{e_a A_{\parallel}}{c} \mathbf{b} \cdot \{\mathbf{R} + \boldsymbol{\rho}, F_{0a}\} = f_{1a} - \frac{e_a \tilde{\phi}}{T_a} F_{0a} + \frac{e_a \langle A_{\parallel} \rangle}{c} \frac{\partial F_{0a}}{\partial p_{\parallel}}. \quad (3.29)$$

Integrating by parts, we derive the parallel Ampere's law for relativistic plasmas:

$$\frac{4\pi e_a^2}{m_a c^2} \int d^6 Z \delta_{gy}^3 \frac{F_{0a}}{\gamma} \langle A_{\parallel} \rangle - \nabla_{\perp}^2 A_{\parallel} = 4\pi \sum_a \bar{j}_{1\parallel a}, \quad \bar{j}_{1\parallel a} = e_a \int d^6 Z \delta_{gy}^3 \frac{p_{\parallel}}{m_a c \gamma} f_{1a}. \quad (3.30)$$

It is straightforward to combine this equation with the relativistic quasineutrality condition and the gyrokinetic equation in the local limit in order to obtain the relativistic generalization of the electromagnetic dispersion relation Eq. (2.13).

4. Conclusions

In this paper, we have summarized our recent work on gyrokinetic applications in electron-positron and non-neutral plasma (Mishchenko *et al.* 2018*b,a*; Kennedy & Mishchenko 2019). The gyrokinetic stability of electron-positron plasmas contaminated by ion (proton) admixture has been studied by Mishchenko *et al.* (2018*b*) in slab geometry. The appropriate dispersion relation was derived and solved. The destabilization of ITGs, ETGs, and universal modes at finite ion contamination were considered. Kennedy & Mishchenko (2019) have shown that drift instabilities can be excited in non-neutral plasmas. In (Mishchenko *et al.* 2018*a*), the electrostatic stability of electron-positron plasmas has been investigated in the dipole geometry. Linear gyrokinetic simulations of magnetically confined electron-positron plasmas were performed in dipole (Kennedy *et al.* 2020) and stellarator (Kennedy *et al.* 2018) geometries.

Similarly to the cold plasma case (Stenson *et al.* 2017), a drastic reduction of the unstable solutions is found for pure pair plasma also in the gyrokinetic regime. Pure pair plasmas are gyrokinetically stable for all gradients if the symmetry between the species is not violated. However, any species asymmetry can drive gyrokinetic instabilities. The asymmetry can be caused by a contamination (e. g. with protons or other ion species), plasma non-neutrality, or different electron and positron temperature profiles. Finally, magnetic curvature can cause gyrokinetic instabilities of pair plasmas, too, since the magnetic drift depends on the sign of the particle charge which is opposite for the electrons and positrons. Hence, toroidally-confined pair plasmas (in a dipole or a stellarator trap) can be turbulent. This turbulence may lead to the self-organization process such as the inward pinch or zonal flows.

In this paper, the dispersion relation is generalized to the relativistic regime. It is found that the remarkable pair-plasma stability can be extended to relativistic applications with the same limitations of a perfect species symmetry and absence of the curvature drifts. Formally, relativistic modifications of the dispersion relation and other basic equations (the gyrokinetic and Maxwell equations) are rather moderate. However, the parallel resonance structure becomes much more complex due to the presence of the relativistic Lorentz factor which depends both on the parallel momentum p_{\parallel} and the magnetic moment μ . Also, the relativistic ambient distribution function (such as the Maxwell-Jüttner distribution function) leads to complications since the usual plasma-dispersion-function formalism cannot be applied. Some analytical progress can be made in the weak-relativistic limit but the calculations become quickly very cumbersome.

One limitation of this extension is that, following Brizard & Chan (1999), only the special relativity contributions have been included in this paper. However, for astrophysical applications in the areas of extreme gravitation, such as surroundings of black holes, the general relativity must be used. The appropriate extension of the gyrokinetic theory is being elaborated (Beklemishev, A. & Tessarotto, M. 2004). Another limitation is in the Maxwell-Jüttner distribution function employed in the paper. It assumes particle conservation and neglects therefore the pair creation or annihilation

which are processes inherent to the electron-positron plasmas at the energies or particle densities high enough. In future, a formulation based on the Dirac equation has to be developed in order to account for these complications (Uzdensky & Rightley 2014). Finally, radiation and its reaction on plasma particles may play an important role, for example affecting reconnection dynamics (Uzdensky 2016). Such effects seem to be out of scope of the regular gyrokinetic theory because of its low-frequency ordering.

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